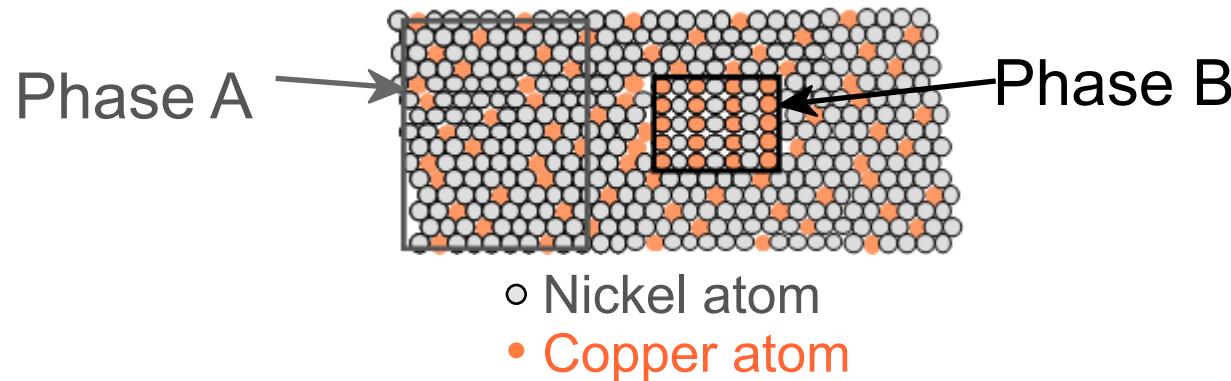


Chapter 9: Phase Diagrams

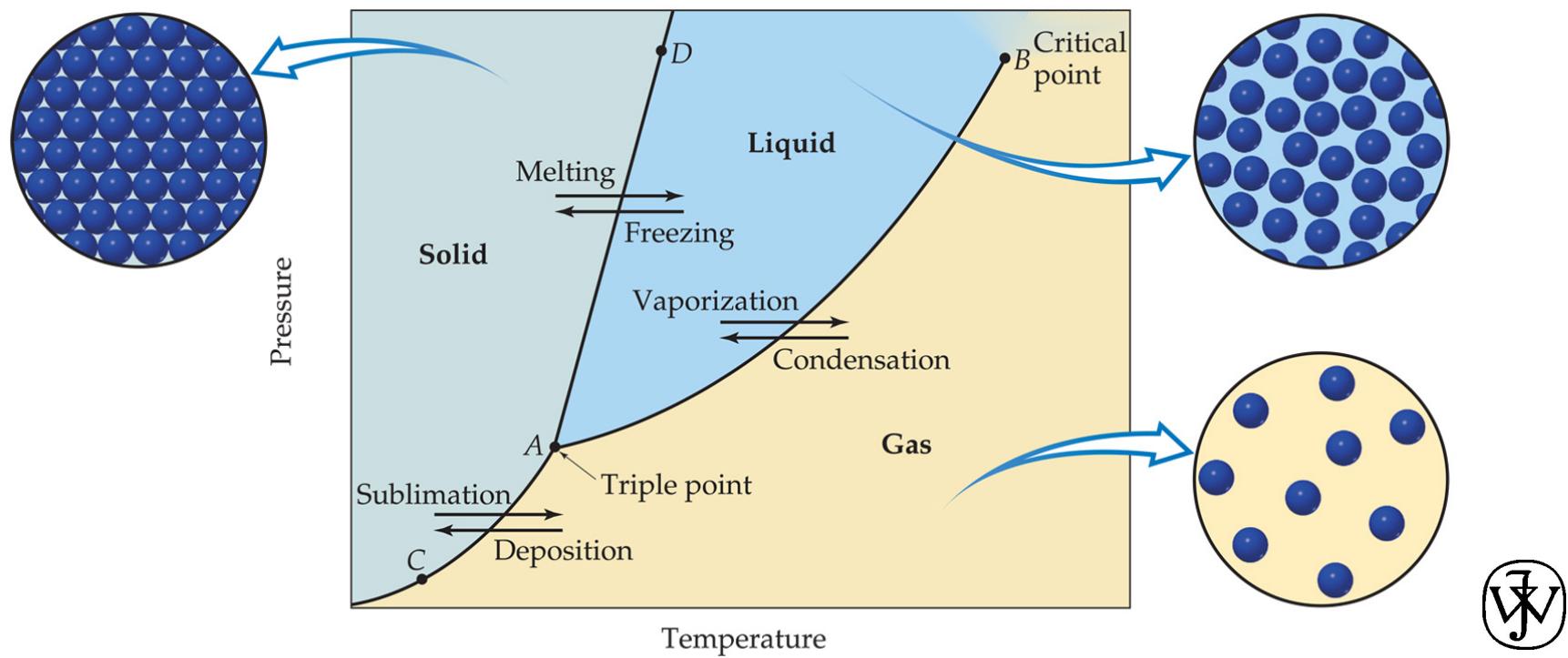
ISSUES TO ADDRESS...

- When we combine two elements...
what equilibrium state do we get?
- In particular, if we specify...
 - a composition (e.g., wt% Cu - wt% Ni), and
 - a temperature (T)then...
 - How many phases do we get?
 - What is the composition of each phase?
 - How much of each phase do we get?



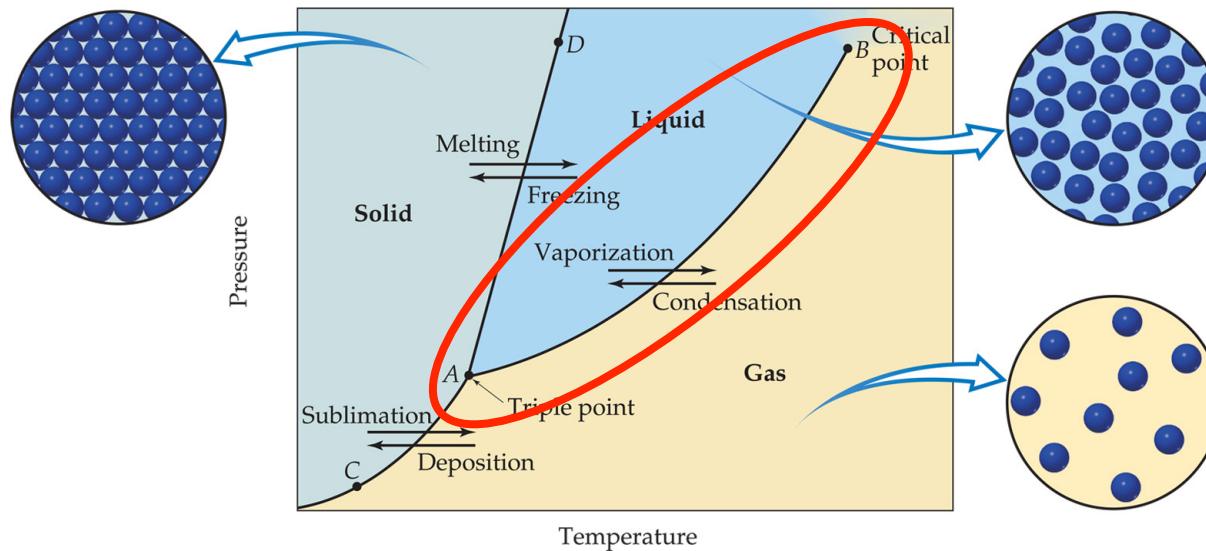
Phase Diagrams

Phase diagrams display the state of a substance at various pressures and temperatures and the places where equilibria exist between phases.



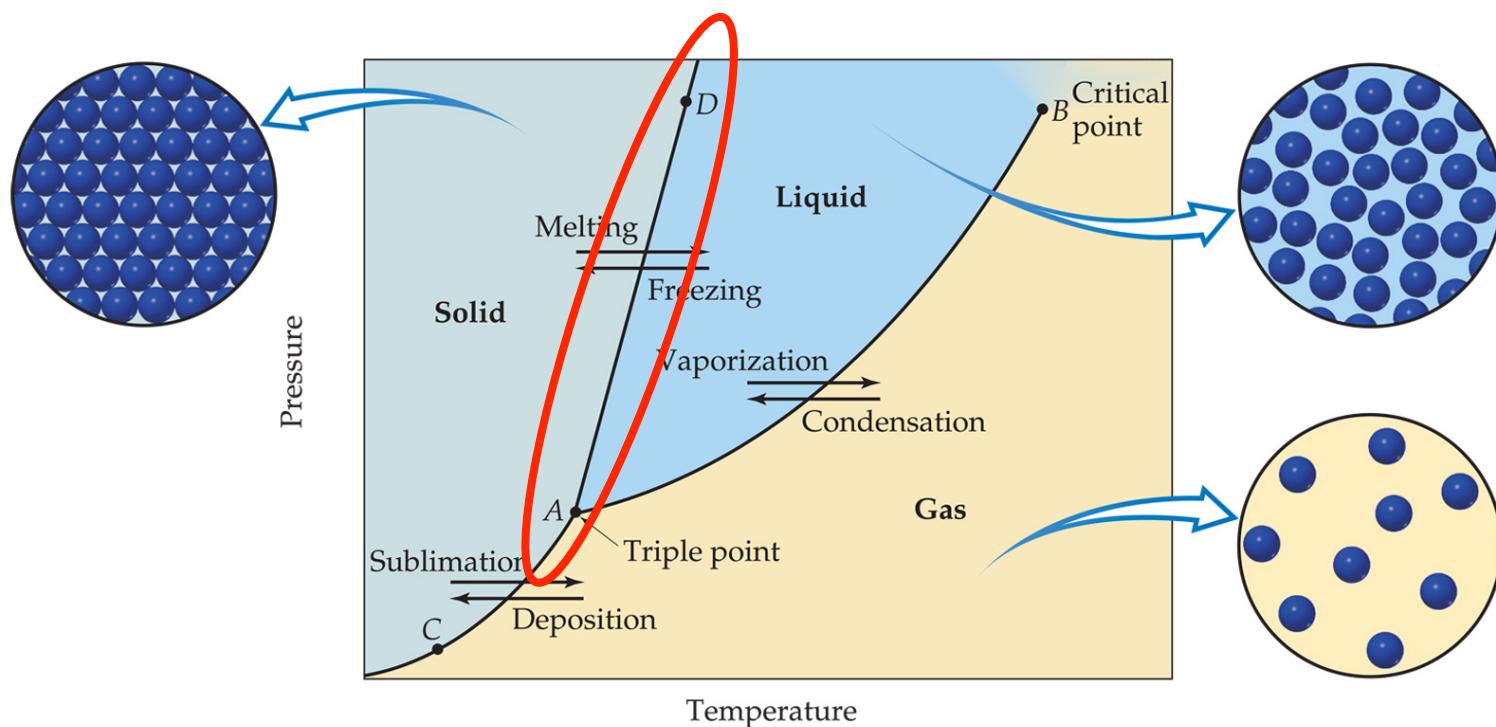
Phase Diagrams

- The AB line is the **liquid-vapor interface**. Eq^m btw liquid and gas phases.
- It starts at the **triple point (A)**, the point at which all three states are in equilibrium.
- Each point along this line is the **boiling point** of the substance at that pressure.



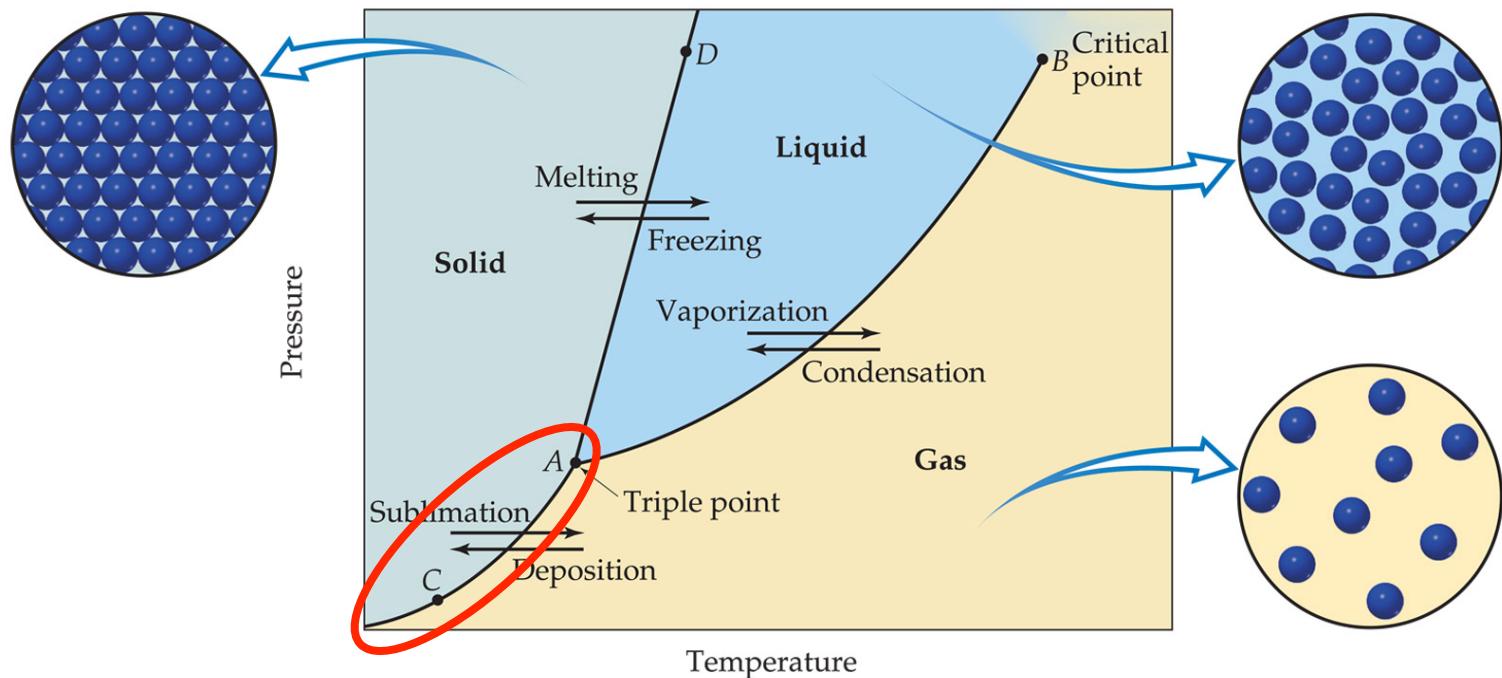
Phase Diagrams

- The AD line is the interface between liquid and solid.
- An increase in pressure usually favors the more compact solid phase, thus, higher temperatures are required to melt the solid at higher pressures.
- The melting point at each pressure can be found along this line.



Phase Diagrams

- Below A the substance cannot exist in the liquid state.
- Along the AC line the solid and gas phases are in equilibrium; the sublimation point at each pressure is along this line.



Phase Equilibria: Solubility Limit

Introduction

- **Solutions** – solid solutions, single phase
- **Mixtures** – more than one phase

Adapted from Fig. 9.1,
Callister 7e.

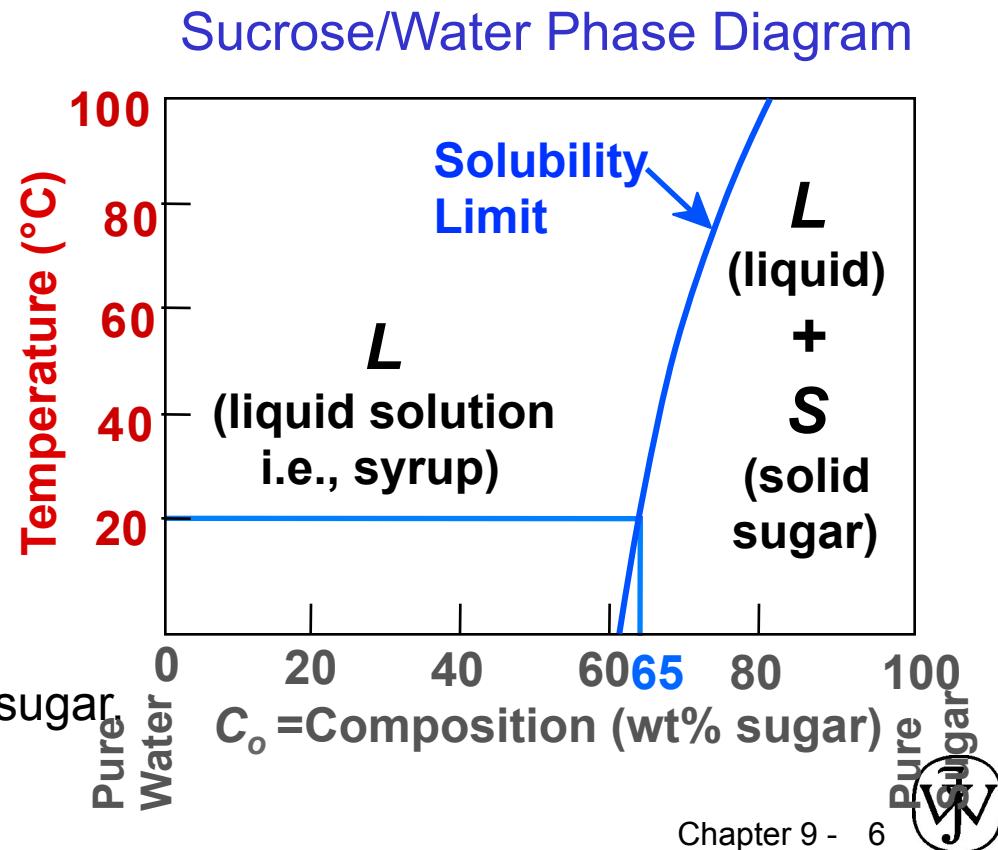
- **Solubility Limit:**
Max concentration for
which only a single phase
solution occurs.

Question: What is the
solubility limit at 20°C ?

Answer: 65 wt% sugar.

If $C_o < 65$ wt% sugar: syrup

If $C_o > 65$ wt% sugar: syrup + sugar

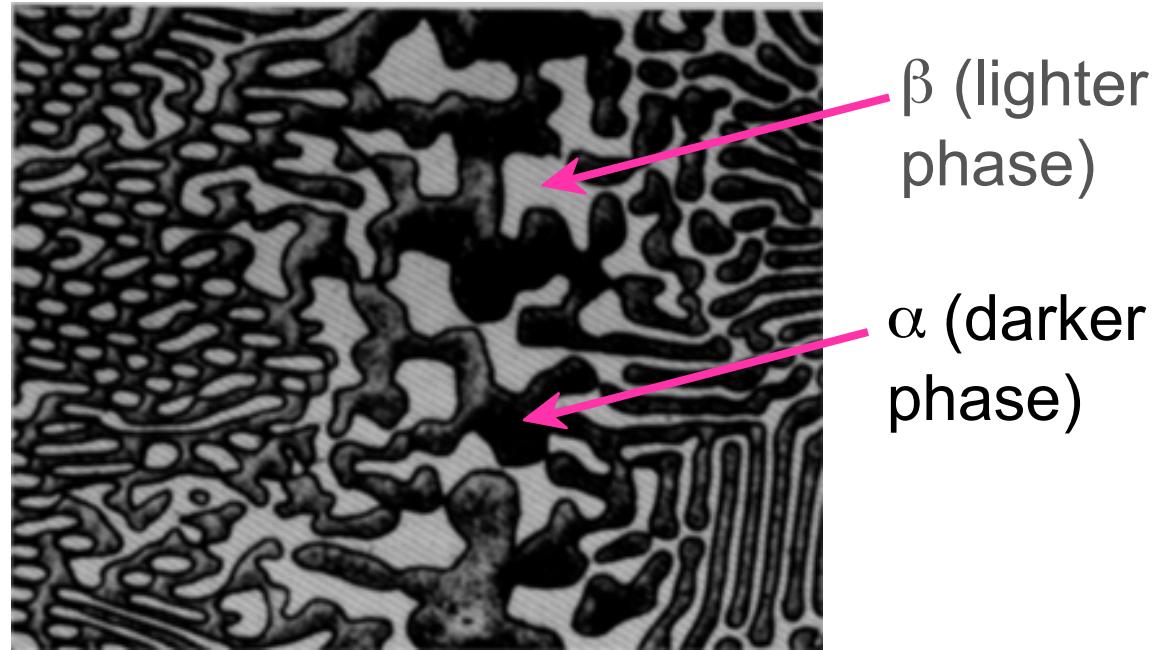


Components and Phases

- **Components:**
The elements or compounds which are present in the mixture (e.g., Al and Cu)
- **Phases:**
The physically and chemically distinct material regions that result (e.g., α and β).

Aluminum-
Copper
Alloy

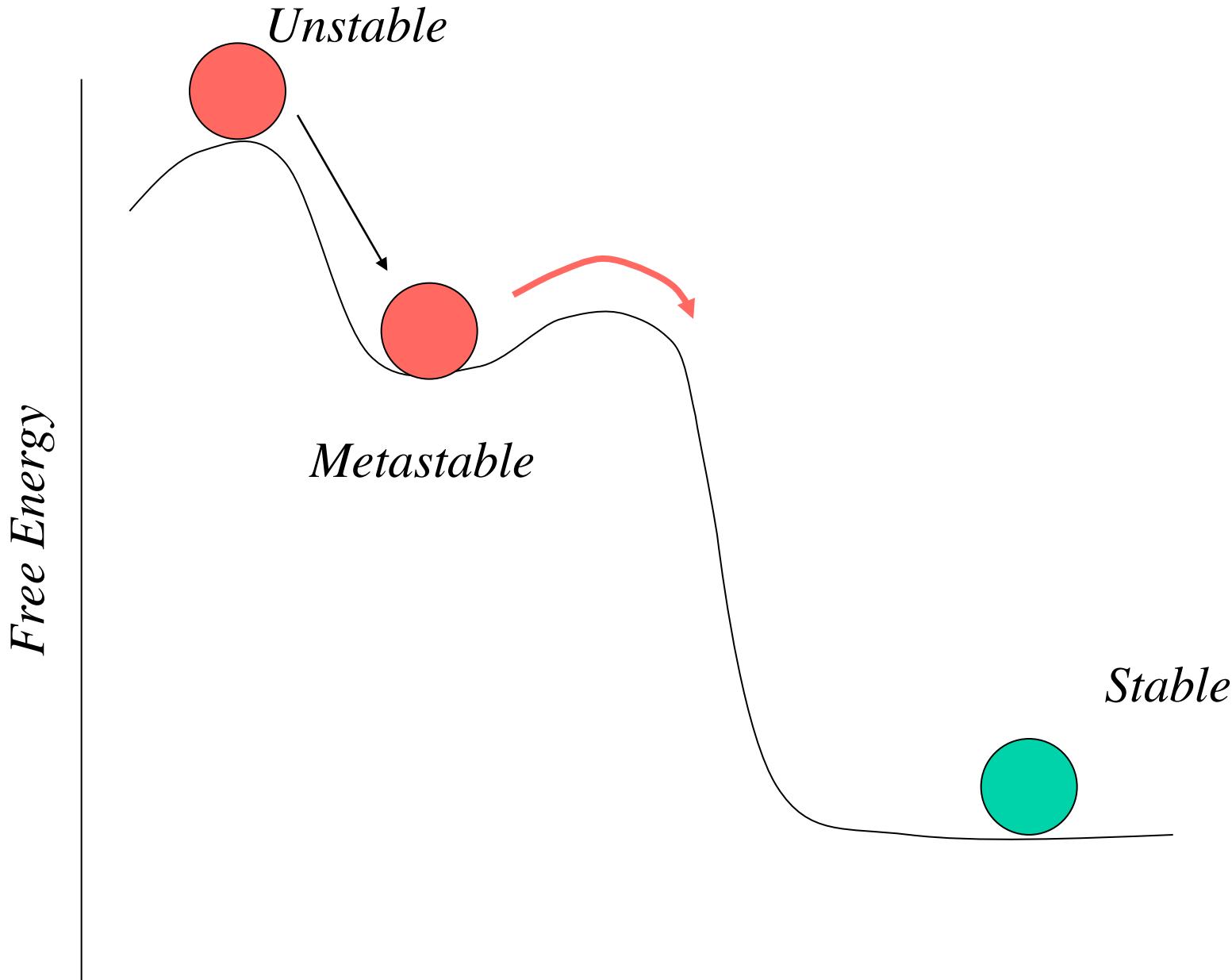
Adapted from
chapter-opening
photograph,
Chapter 9,
Callister 3e.



Nomenclature / Definitions / Basic Concepts (III)

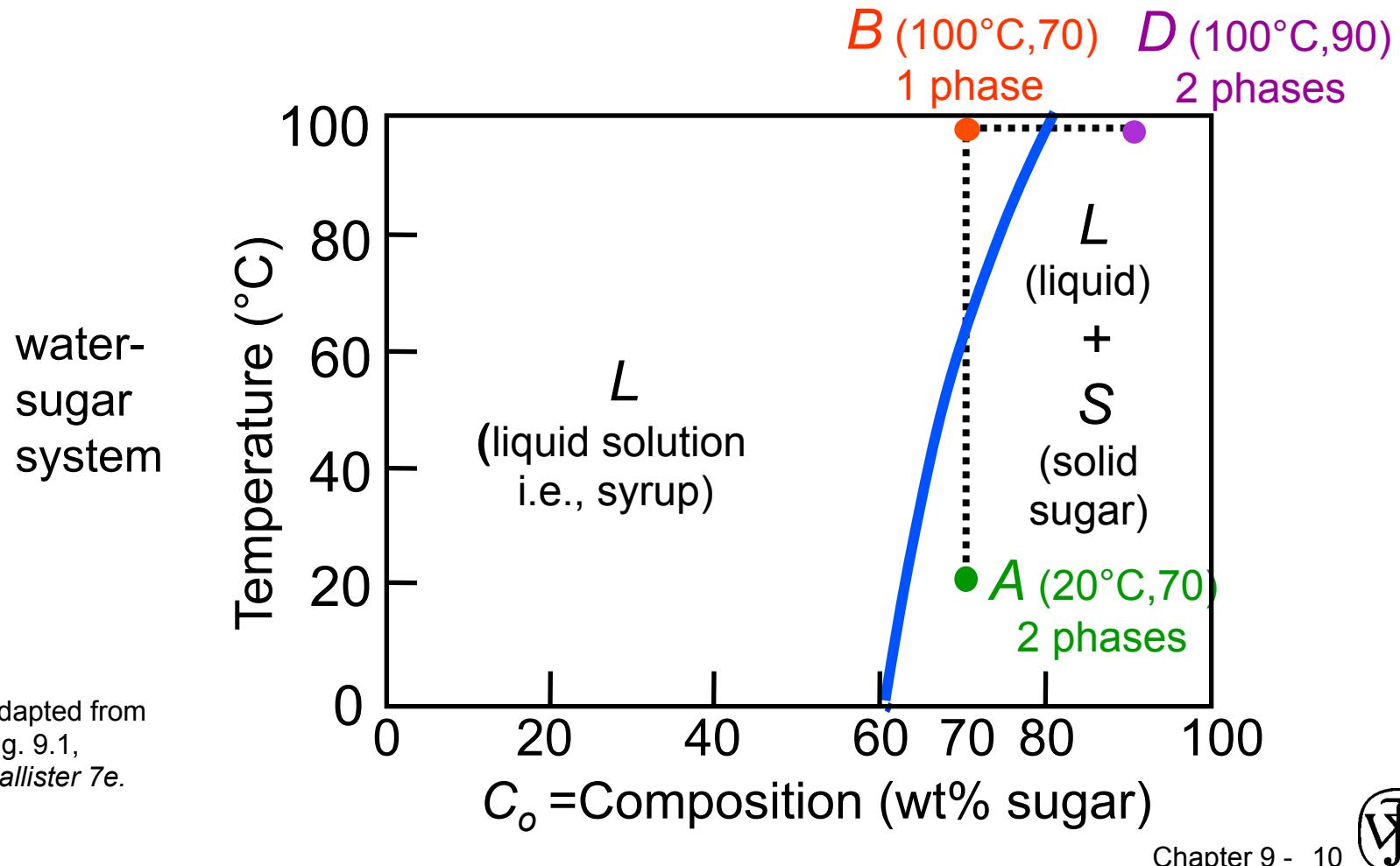
- A system is at equilibrium if at constant temperature, pressure and composition the system is chemically and structurally stable, not changing with time.
- Equilibrium is the state that is achieved given sufficient time. But sometimes it will take too much time to achieve equilibrium due to the kinetics. This is called a meta-stable state.
- In thermodynamics the equilibrium is described as the state of system that corresponds to the minimum of thermodynamic function called the free energy.
 - Thermodynamics tells us that
 - under conditions of a constant temperature and pressure and composition, the direction of any spontaneous change is toward a lower free energy.
 - the state of stable thermodynamic equilibrium is the one with minimum free energy.
 - a system at a metastable state is trapped in a local minimum of free energy that is not the global one.





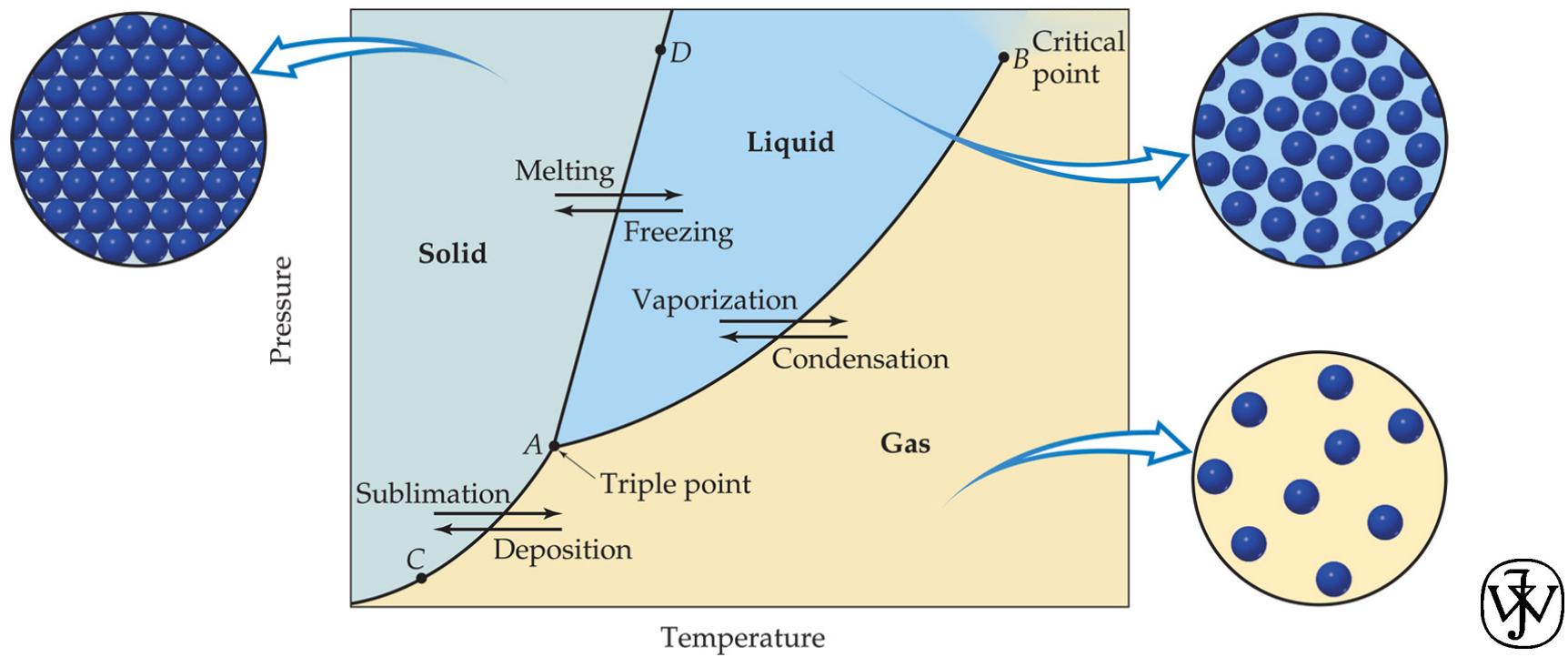
Effect of T & Composition (C_o)

- Changing T can change # of phases: path A to B .
- Changing C_o can change # of phases: path B to D .



One Component (Uniary) Phase Diagram

Phase diagrams display the state of a substance at various pressures and temperatures and the places where equilibria exist between phases.

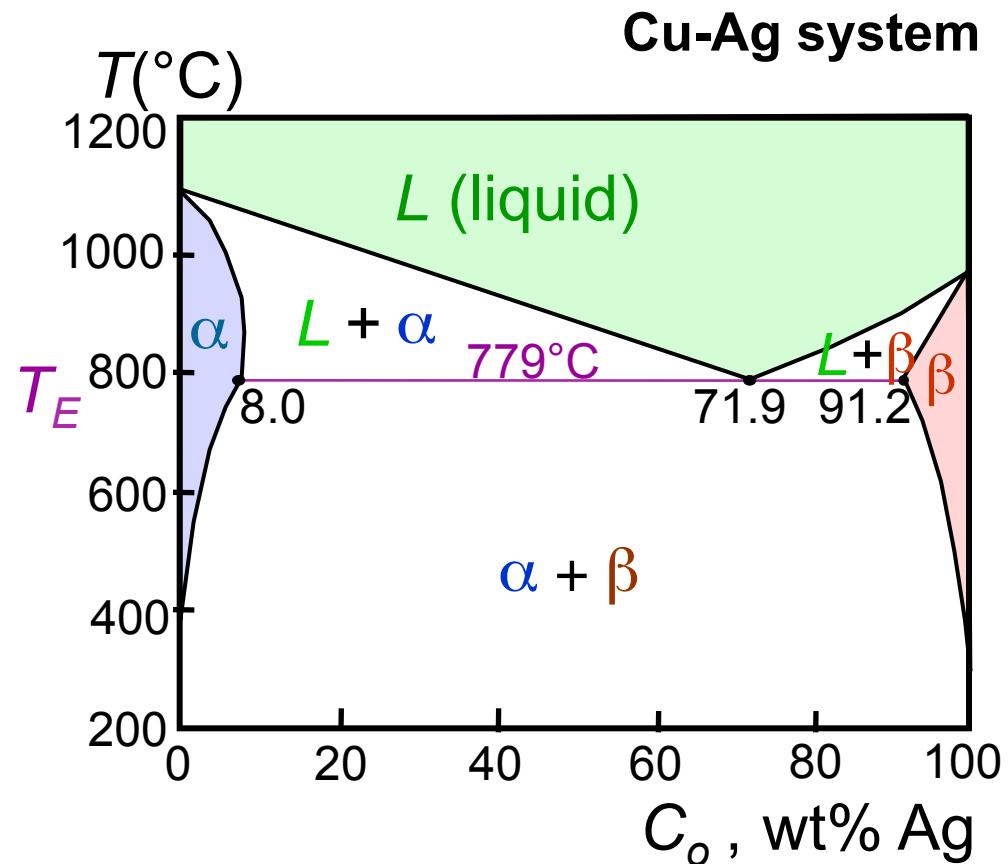


Binary Phase Diagram

2 components

Ex.: Cu-Ag system

- 3 single phase regions (L , α , β)
- Limited solubility:
 - α : mostly Cu
 - β : mostly Ag



Adapted from Fig. 9.7,
Callister 7e.

Phase Equilibria

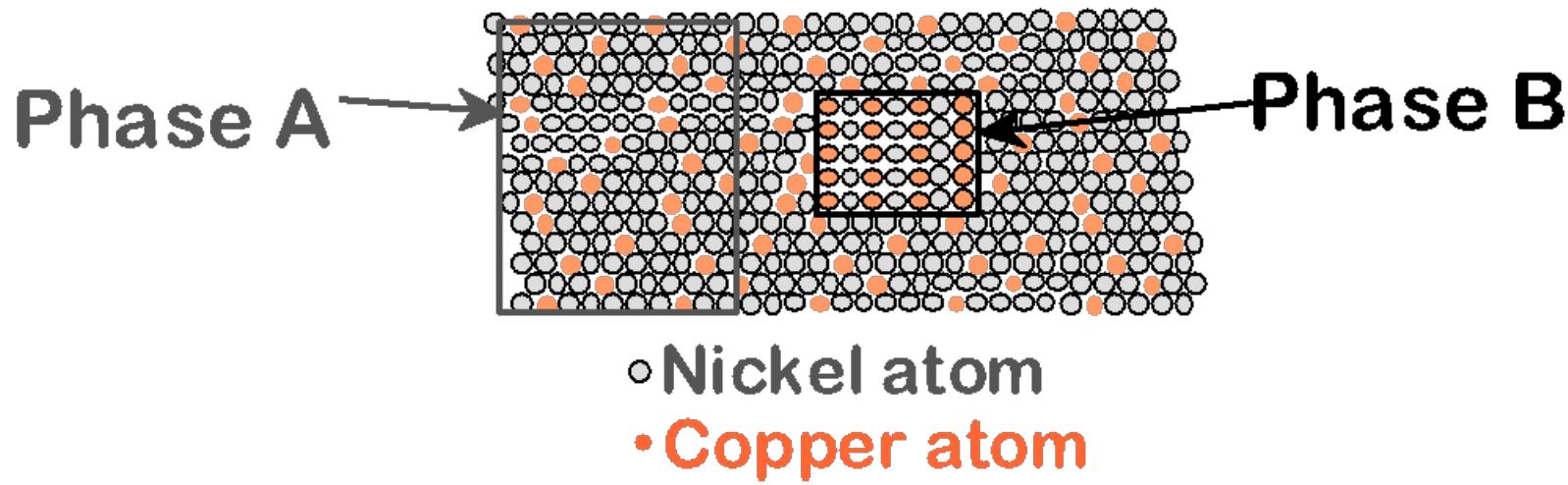
Simple solution system (e.g., Ni-Cu solution)

	Crystal Structure	electroneg	r (nm)
Ni	FCC	1.9	0.1246
Cu	FCC	1.8	0.1278

- Both have the same crystal structure (FCC) and have similar electronegativities and atomic radii ([W. Hume – Rothery rules](#)) suggesting high mutual solubility.
- Ni and Cu are totally miscible in all proportions.

CHAPTER 9: PHASE DIAGRAMS

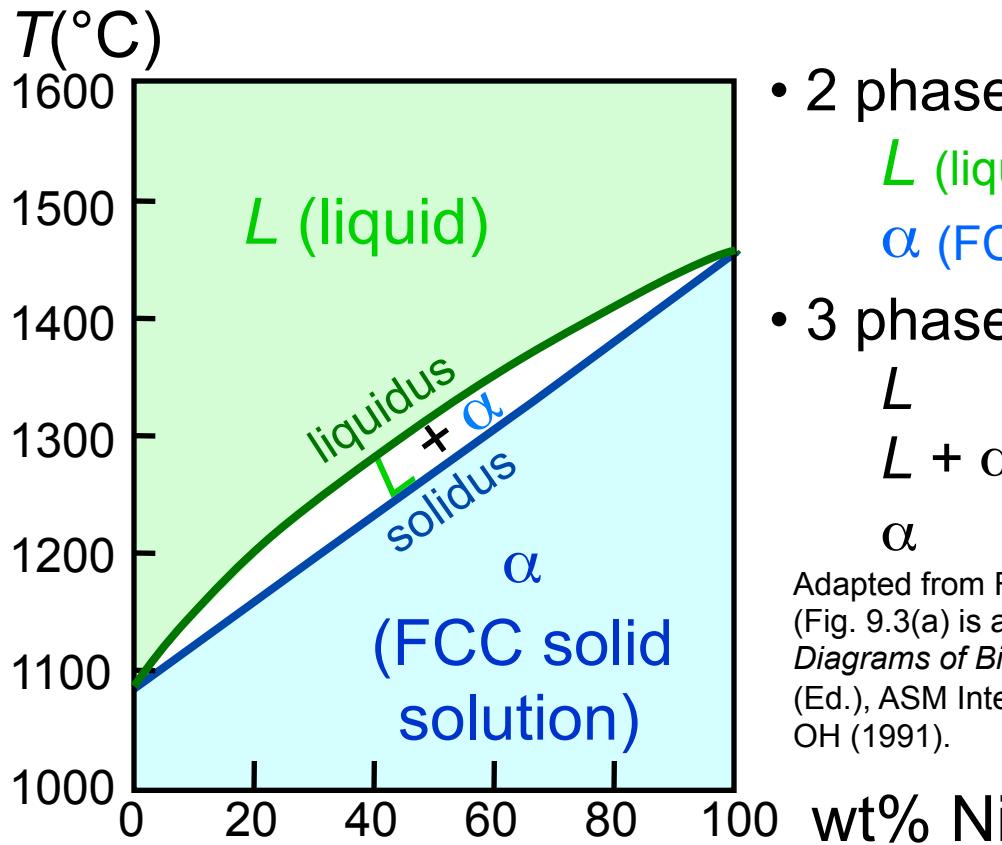
- *When we combine two elements, create an alloy or a compound what is the equilibrium structure like ?*
- *For a given composition and temperature*
 - *How many phases do we get ?*
 - *What is the composition of each phase ?*
 - *How much of each phase do we get ?*



Phase Diagrams

- Indicate phases as function of T , C_o , and P .
- For this course:
 - binary systems: just 2 components.
 - independent variables: T and C_o ($P = 1$ atm is almost always used).

- Phase Diagram for Cu-Ni system



- 2 phases:
 - L (liquid)
 - α (FCC solid solution)
- 3 phase fields:
 - L
 - $L + \alpha$
 - α

Adapted from Fig. 9.3(a), *Callister 7e*.
(Fig. 9.3(a) is adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash (Ed.), ASM International, Materials Park, OH (1991).

Interpretation of Phase Diagrams

- **For a given temperature, T , and composition, C_O , we can use the phase diagram to determine:**
 1. The # of phases that are present
 2. Compositions of these phases
 3. The relative fractions of the phases
- **Finding the composition in a two phase region:**
 1. Locate composition and temperature in the diagram
 2. In a two phase region draw the tie line or an isotherm
 3. Note the intersection with phase boundaries. Read compositions at the intersections.

The liquid and/or solid phases have these compositions.

LETS TRY



Phase Diagrams: # and types of phases

- Rule 1: If we know T and C_o , then we know:
--the # and types of phases present.

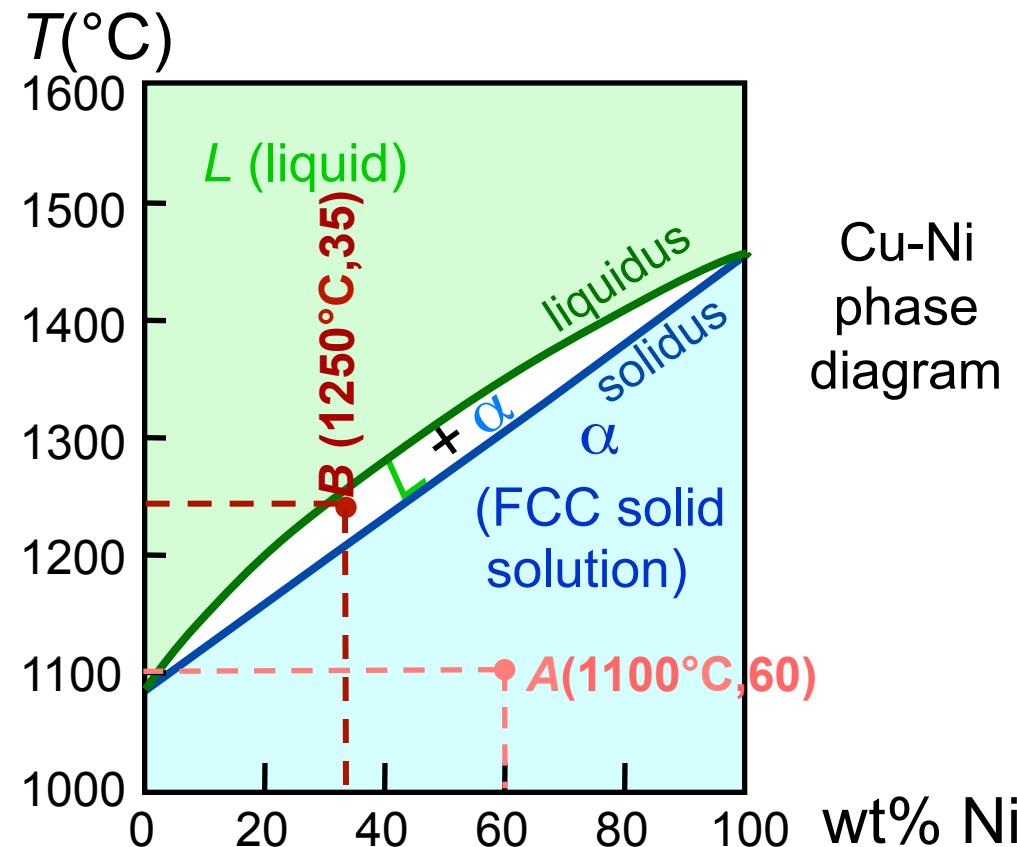
- Examples:

$A(1100^\circ\text{C}, 60)$:

1 phase: α

$B(1250^\circ\text{C}, 35)$:

2 phases: $L + \alpha$



Adapted from Fig. 9.3(a), Callister 7e.
(Fig. 9.3(a) is adapted from *Phase
Diagrams of Binary Nickel Alloys*, P. Nash
(Ed.), ASM International, Materials Park,
OH, 1991).

Phase Diagrams: composition of phases

- Rule 2: If we know T and C_O , then we know:
--the composition of each phase.
- Examples:

$$C_O = 35 \text{ wt\% Ni}$$

At $T_A = 1320^\circ\text{C}$:

Only Liquid (L)

$$C_L = C_O \quad (= 35 \text{ wt\% Ni})$$

At $T_D = 1190^\circ\text{C}$:

Only Solid (α)

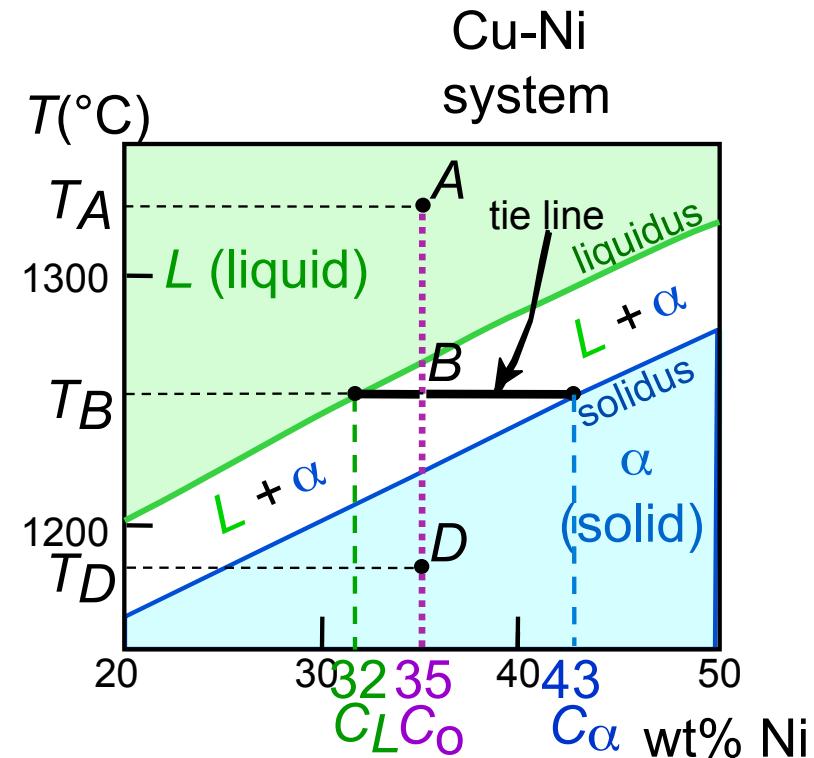
$$C_\alpha = C_O \quad (= 35 \text{ wt\% Ni})$$

At $T_B = 1250^\circ\text{C}$:

Both α and L

$$C_L = C_{\text{liquidus}} \quad (= 32 \text{ wt\% Ni here})$$

$$C_\alpha = C_{\text{solidus}} \quad (= 43 \text{ wt\% Ni here})$$



Adapted from Fig. 9.3(b), Callister 7e.
(Fig. 9.3(b) is adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash (Ed.), ASM International, Materials Park, OH, 1991.)

Phase Diagrams: weight fractions of phases

- Rule 3: If we know T and C_O , then we know:
--the amount of each phase (given in wt%).

- Examples:

$$C_O = 35 \text{ wt\% Ni}$$

At T_A : Only Liquid (L)

$$W_L = 100 \text{ wt\%}, W_\alpha = 0$$

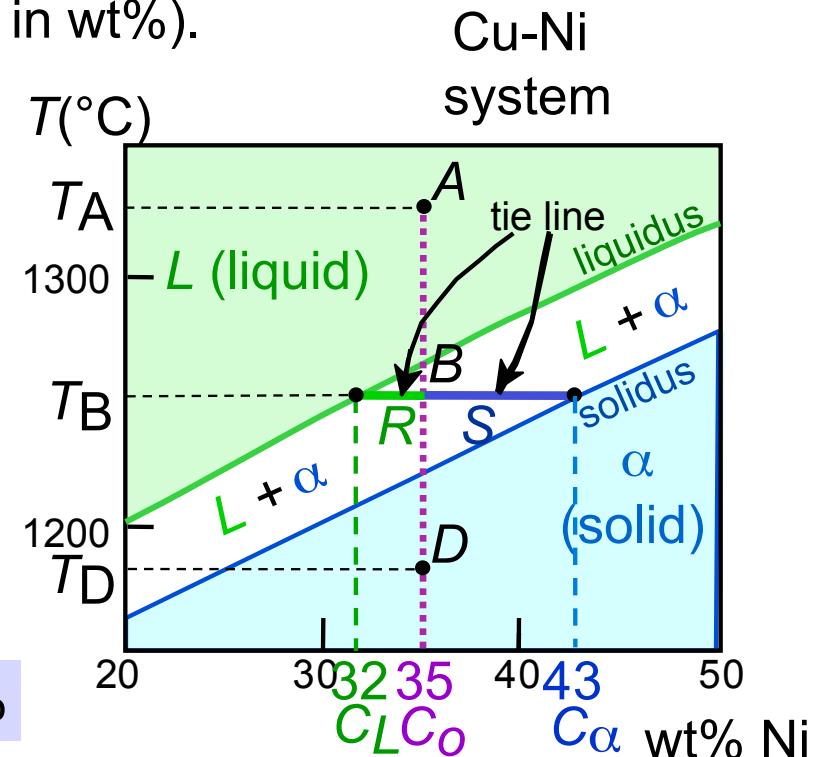
At T_D : Only Solid (α)

$$W_L = 0, W_\alpha = 100 \text{ wt\%}$$

At T_B : Both α and L

$$W_L = \frac{S}{R + S} = \frac{43 - 35}{43 - 32} = 73 \text{ wt\%}$$

$$W_\alpha = \frac{R}{R + S} = 27 \text{ wt\%}$$



Adapted from Fig. 9.3(b), Callister 7e.
(Fig. 9.3(b) is adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash (Ed.), ASM International, Materials Park, OH, 1991.)

Interpretation of Phase Diagrams : Lever Rule

- **Finding the amounts of phases in a two phase region:**
 1. Locate composition and temperature in diagram
 2. In two phase region draw the tie line or isotherm
 3. Fraction of a phase is determined by taking the length of the tie line to the phase boundary for the other phase, and dividing by the total length of tie line
- The lever rule is a mechanical analogy to the mass balance calculation. The tie line in the two-phase region is analogous to a lever balanced on a fulcrum.



THE LEVER RULE: A PROOF

How much of each phase?

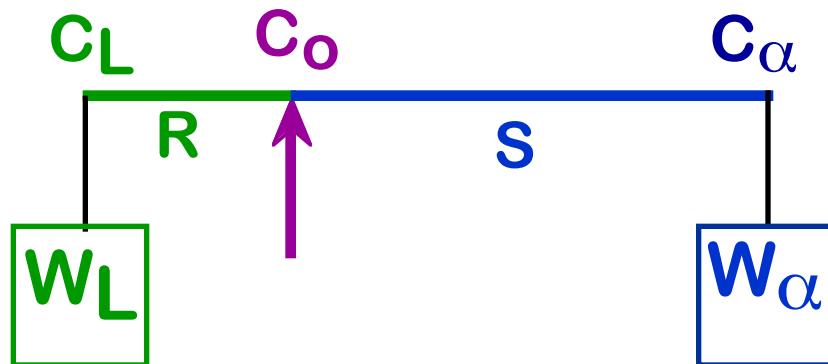
Think of it as a lever (teeter-totter)

- *Sum of weight fractions:* $W_L + W_\alpha = 1$
- *Conservation of mass (Ni):* $C_o = W_L C_L + W_\alpha C_\alpha$
- *Combine above equations:*

$$W_L = \frac{C_\alpha - C_o}{C_\alpha - C_L} = \frac{S}{R + S}$$

$$W_\alpha = \frac{C_o - C_L}{C_\alpha - C_L} = \frac{R}{R + S}$$

- *A geometric interpretation:*



moment equilibrium:

$$W_L R = W_\alpha S$$

\uparrow

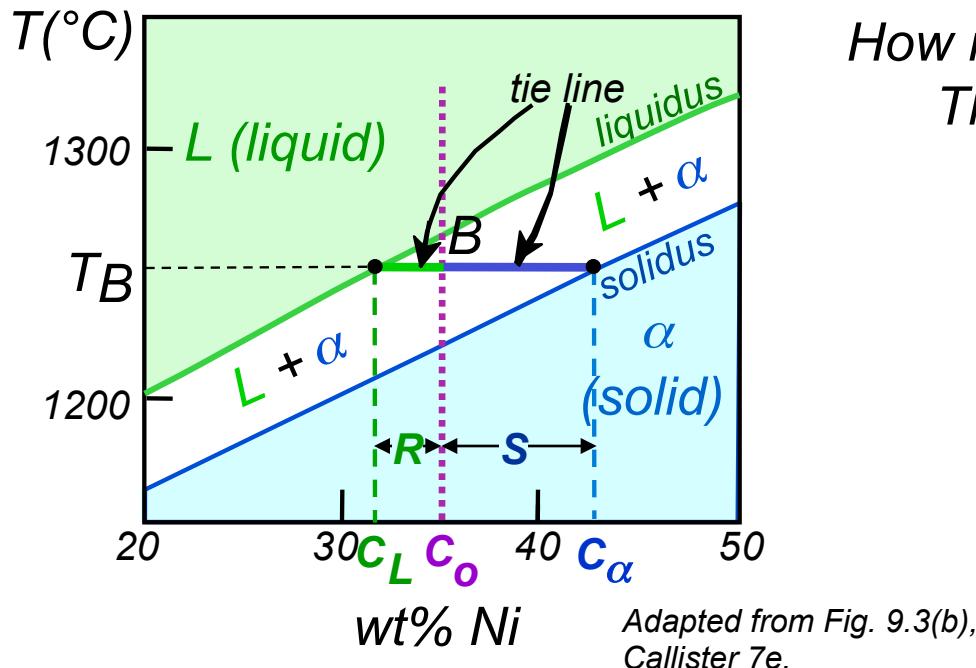
$$1 - W_\alpha$$

solving gives Lever Rule

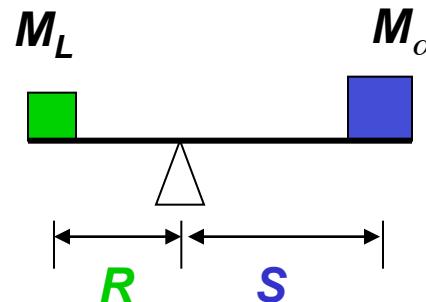


The Lever Rule

- Tie line – connects the phases in equilibrium with each other - essentially an isotherm



*How much of each phase?
Think of it as a lever (teeter-totter)*



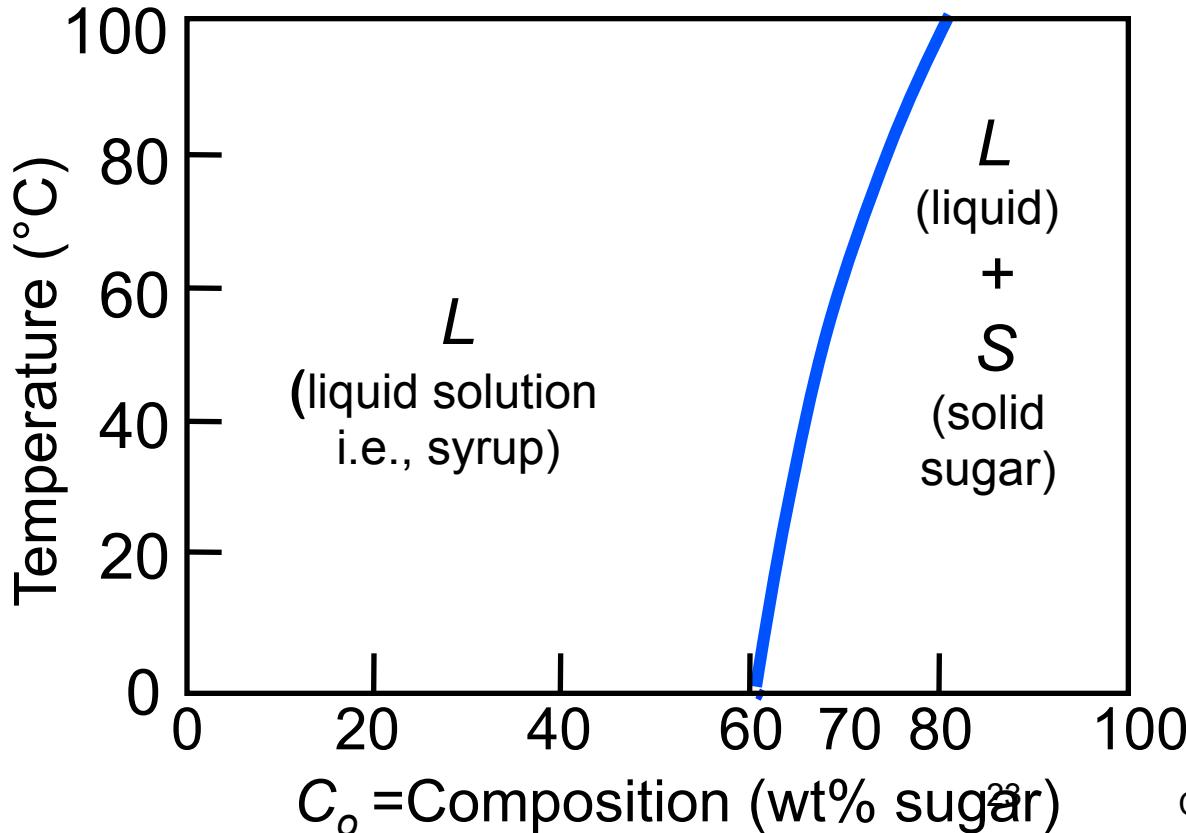
$$M_\alpha \cdot S = M_L \cdot R$$

$$W_L = \frac{M_L}{M_L + M_\alpha} = \frac{S}{R + S} = \frac{C_\alpha - C_0}{C_\alpha - C_L}$$

$$W_\alpha = \frac{R}{R + S} = \frac{C_0 - C_L}{C_\alpha - C_L}$$

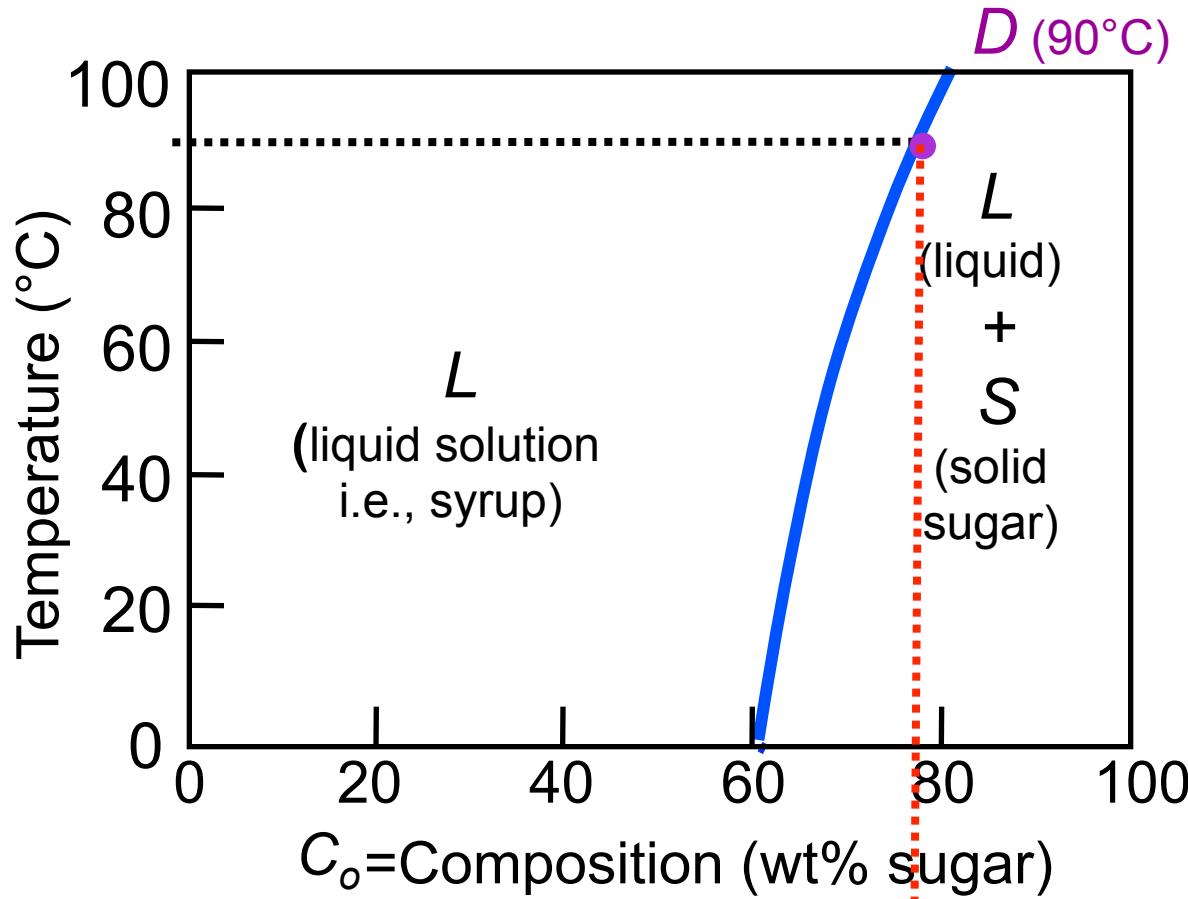
Consider the sugar–water phase diagram.

- (a) How much sugar will dissolve in 1500 g water at 90°C (363 K)?
- (b) If the saturated liquid solution in part (a) is cooled to 20°C (293 K), some of the sugar will precipitate out as a solid. What will be the composition of the saturated liquid solution (in wt% sugar) at 20°C?
- (c) How much of the solid sugar will come out of solution upon cooling to 20°C?



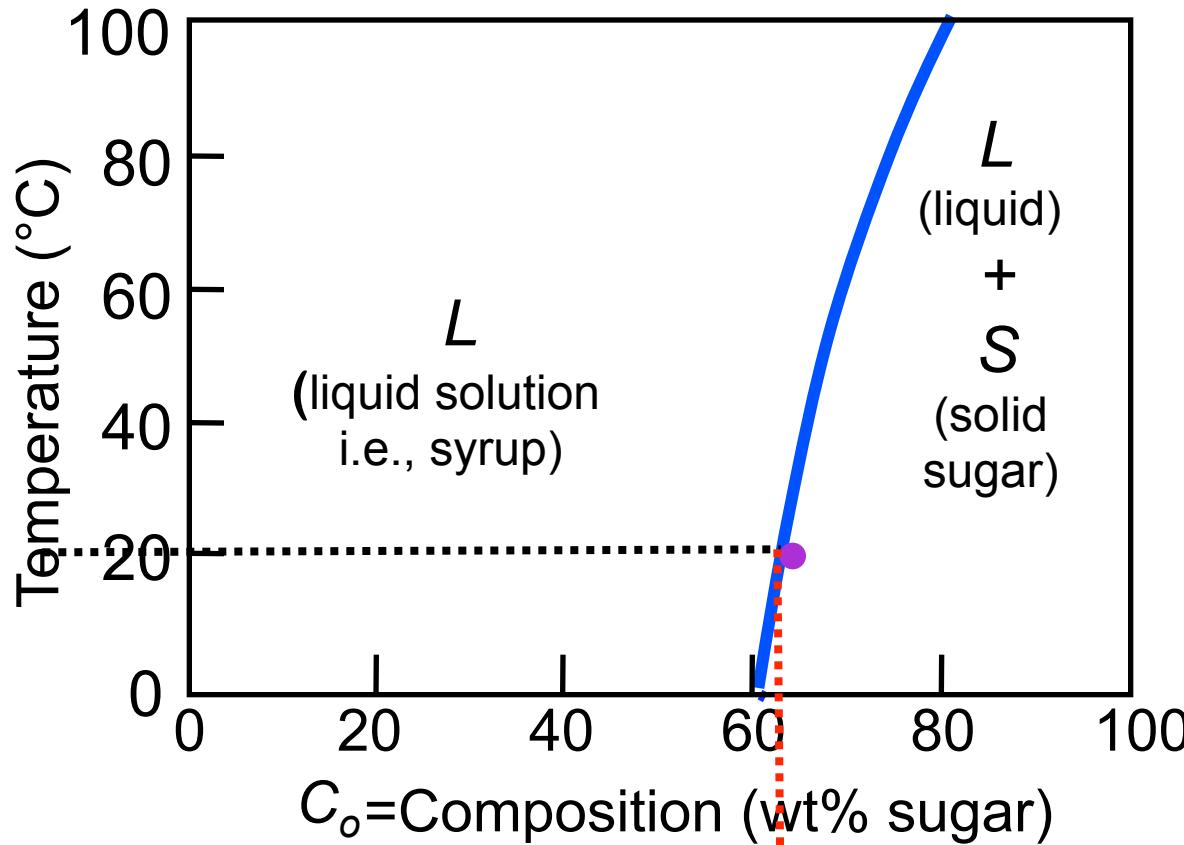
Consider the sugar–water phase diagram.

(a) How much sugar will dissolve in 1500 g water at 90°C (363 K)?



Consider the sugar–water phase diagram.

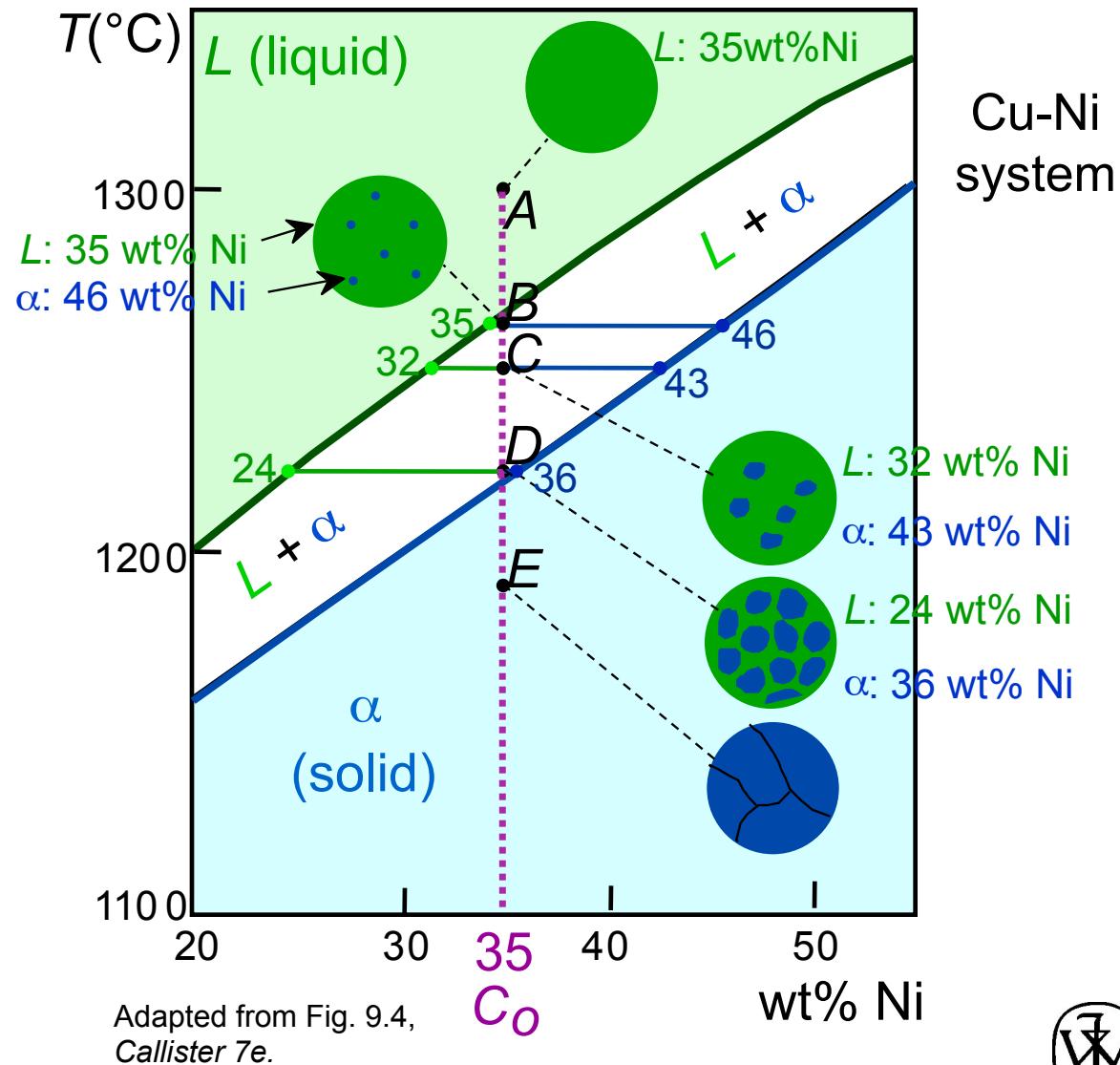
(b) If the saturated liquid solution in part (a) is cooled to 20°C (293 K), some of the sugar will precipitate out as a solid. What will be the composition of the saturated liquid solution (in wt% sugar) at 20°C?



(c) How much of the solid sugar will come out of solution upon cooling to 20°C?

Ex: Cooling in a Cu-Ni Binary

- Phase diagram: Cu-Ni system.
- System is:
 - binary**
i.e., 2 components: Cu and Ni.
 - isomorphous**
i.e., complete solubility of one component in another; α phase field extends from 0 to 100 wt% Ni.
- Consider $C_0 = 35$ wt% Ni.



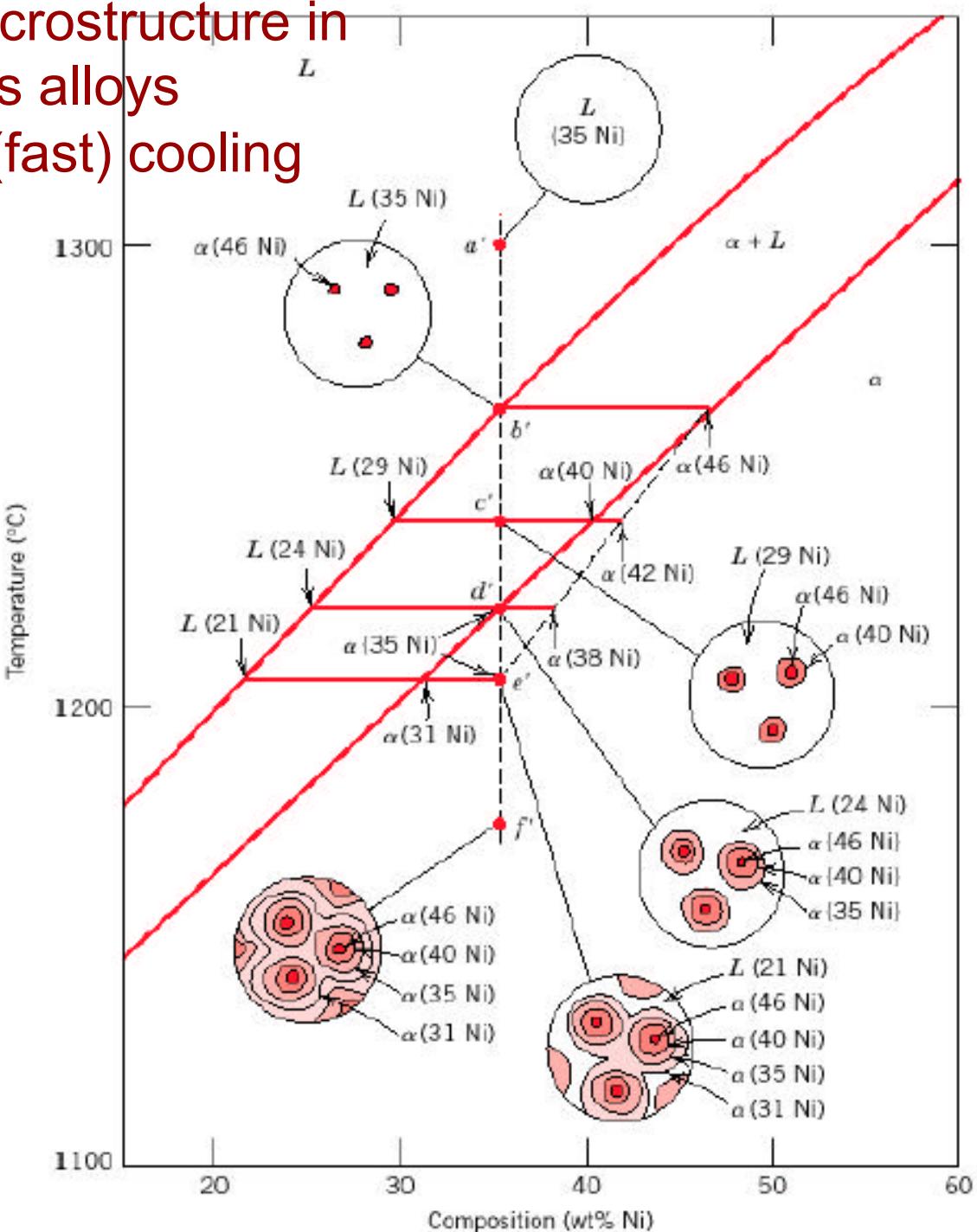
SUMMARY

- Development of microstructure in isomorphous alloys during equilibrium (very slow) cooling
 1. **Solidification in the solid + liquid phase occurs gradually upon cooling from the liquidus line.**
 2. **The composition of the solid and the liquid change gradually during cooling (as can be determined by the tie-line method.) => DIFFUSION !**
 3. **Nuclei of the solid phase form and they grow to consume all the liquid at the solidus line.**

Development of microstructure in isomorphous alloys

Non-equilibrium (fast) cooling

- **Phase diagram: Cu-Ni system.**
- **System is:**
 - binary**
i.e., 2 components: Cu and Ni.
 - isomorphous**
i.e., complete solubility of one component in another; α phase field extends from 0 to 100wt% Ni.
- **Consider**
 $C_0 = 35\text{wt\%Ni}$ & fast cooling



Adapted from Fig. 9.3,
Callister 6e.

SUMMARY

Development of microstructure in isomorphous alloys during non-equilibrium (fast) cooling

Compositional changes require diffusion in solid and liquid phases

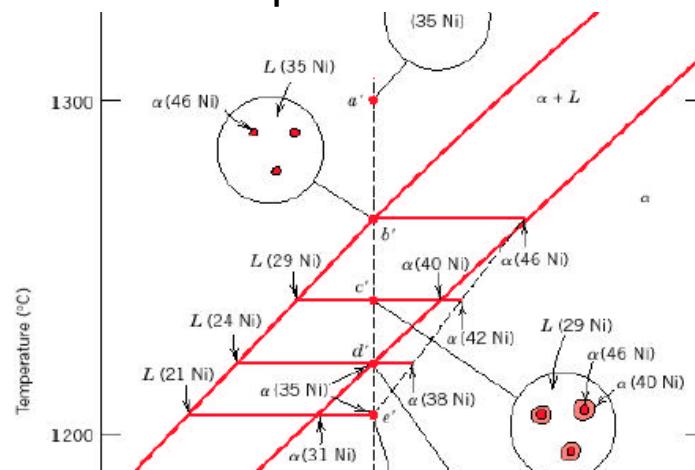
- Diffusion in the solid state is very slow.
- The new layers that solidify on top of the existing grains have the equilibrium composition at that temperature but once they are solid their composition does not change.
- Formation of layered (cored) grains and the invalidity of the tie-line method to determine the composition of the solid phase.

The tie-line method still works for the **liquid phase, where diffusion is fast**.

Average Ni content of solid grains is higher.

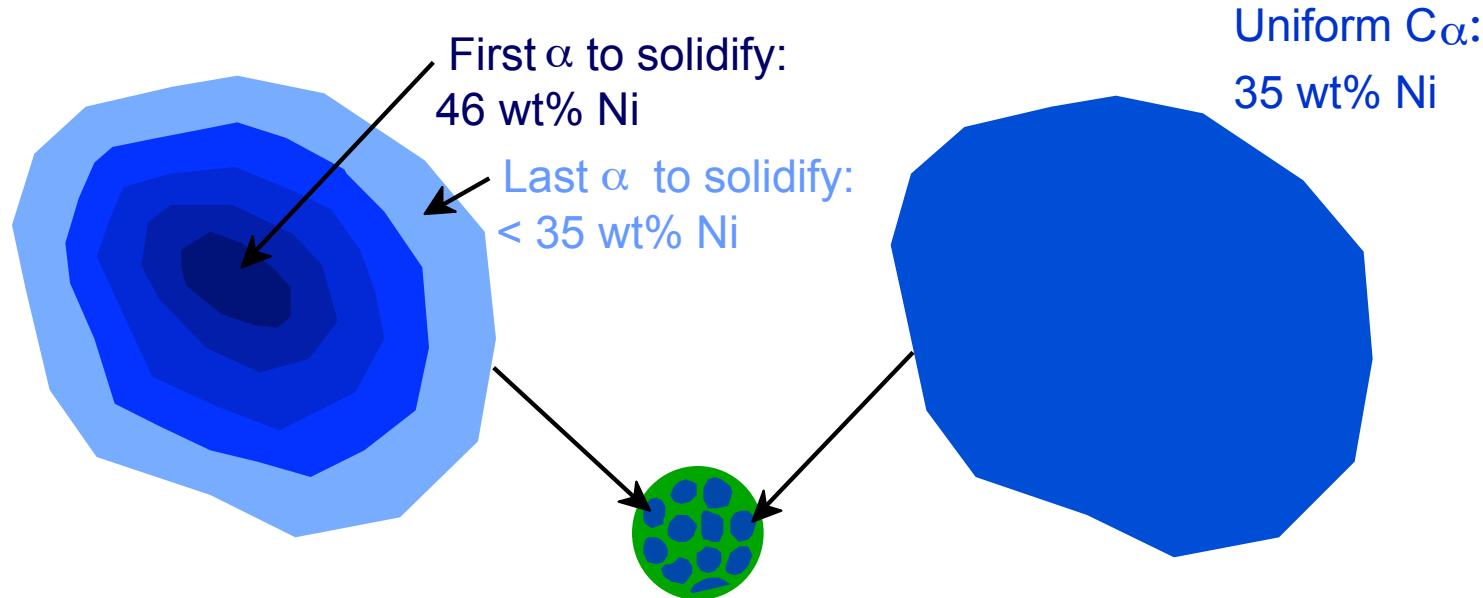
- Application of the lever rule gives us a greater proportion of liquid phase as compared to the one for equilibrium cooling at the same T.
- **Solidus line is shifted to the right** (higher Ni contents), **solidification is complete at lower T, the outer part of the grains are richer in the low-melting component (Cu)**.

Upon heating **grain boundaries will melt first**. This can lead to premature mechanical failure at high temperatures.



Cored vs Equilibrium Phases

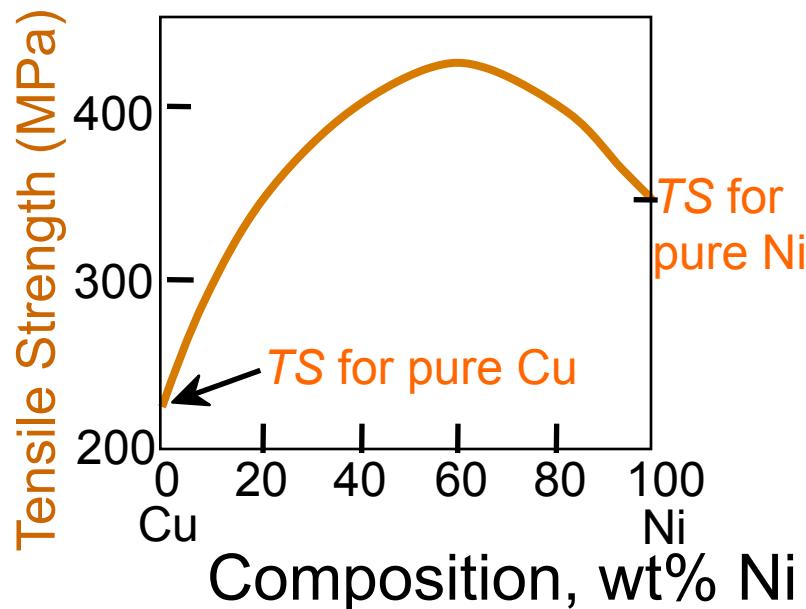
- C_α changes as we solidify.
- Cu-Ni case: First α to solidify has $C_\alpha = 46$ wt% Ni.
Last α to solidify has $C_\alpha = 35$ wt% Ni.
- Fast rate of cooling:
Cored structure
- Slow rate of cooling:
Equilibrium structure



Mechanical Properties: Cu-Ni System

- Effect of solid solution strengthening on:

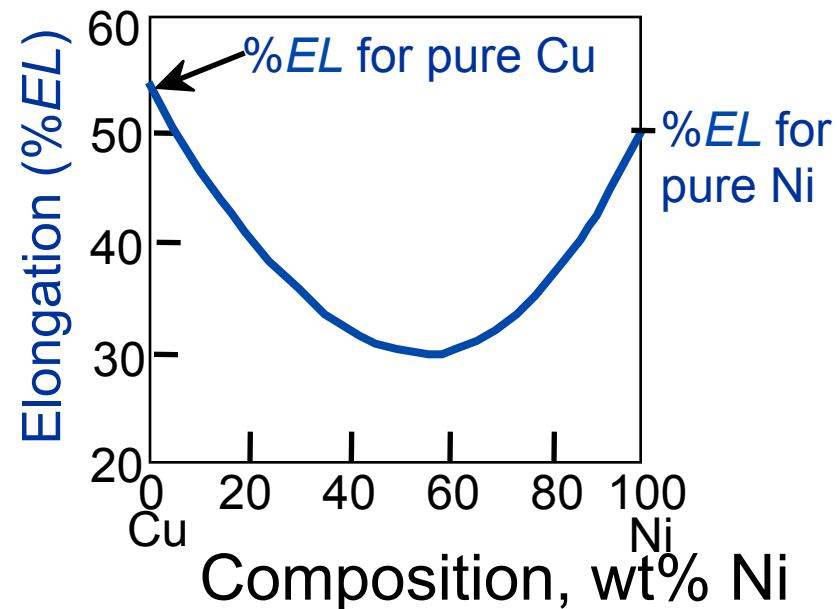
--Tensile strength (TS)



Adapted from Fig. 9.6(a), Callister 7e.

--Peak as a function of C_o

--Ductility (% EL , % AR)



Adapted from Fig. 9.6(b), Callister 7e.

--Min. as a function of C_o

Binary-Eutectic Systems

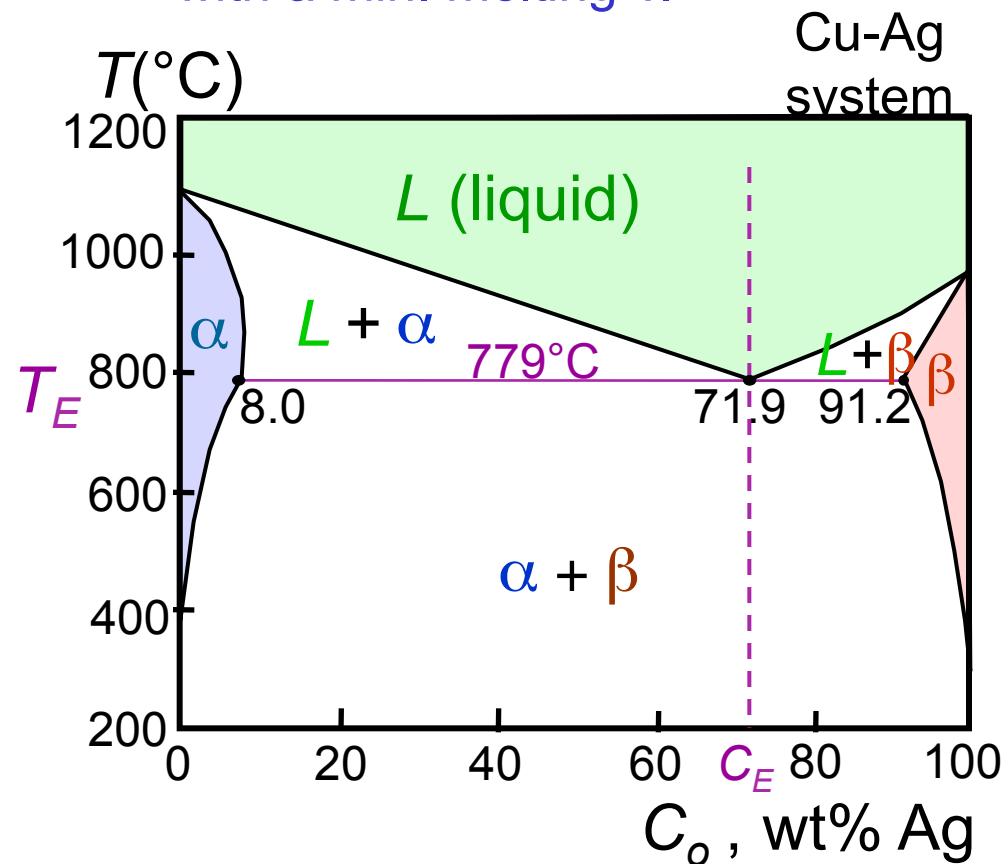
2 components

has a special composition with a min. melting T.

Ex.: Cu-Ag system

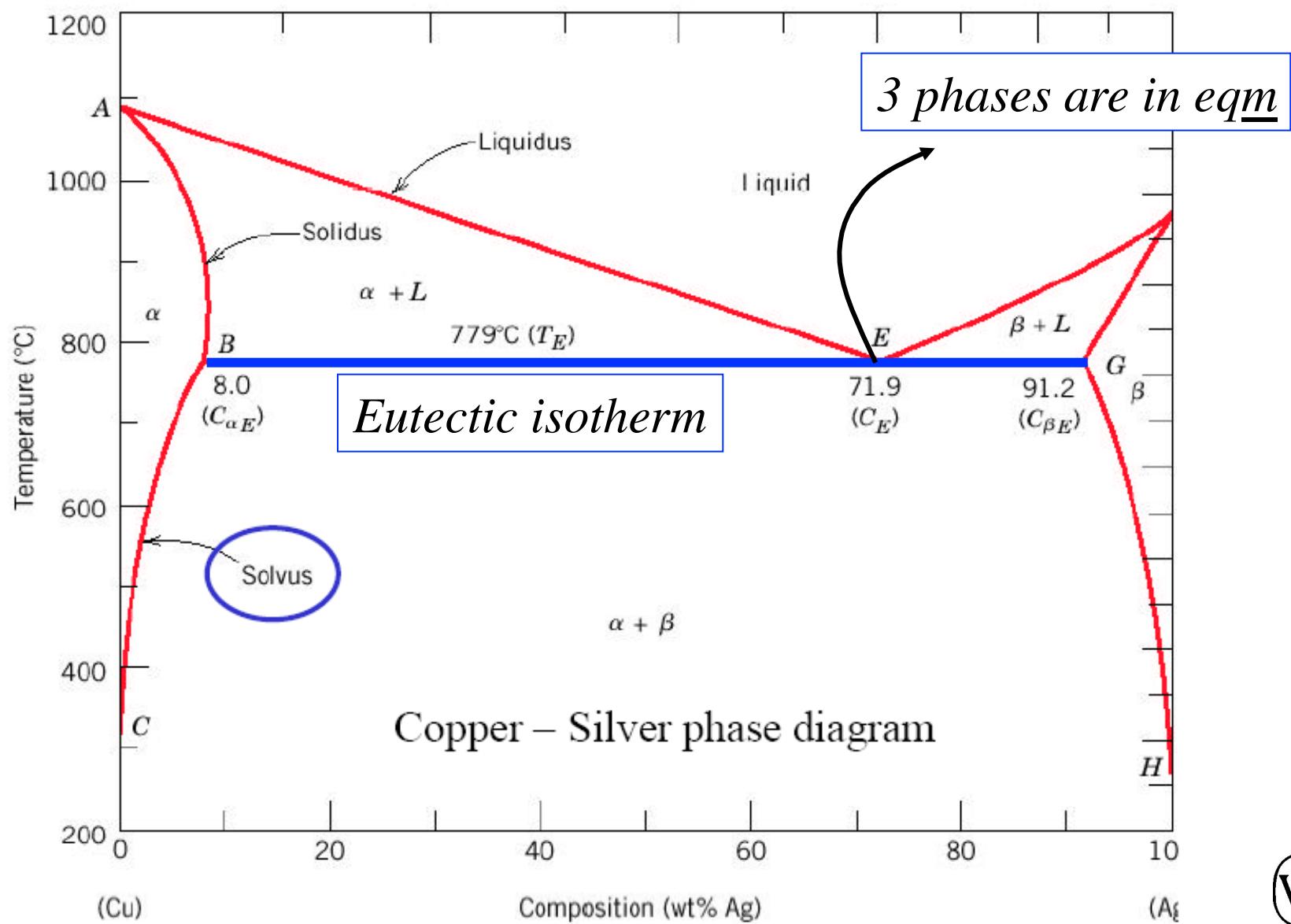
- 3 single phase regions (L , α , β)
- Limited solubility:
 - α : mostly Cu
 - β : mostly Ag
- T_E : No liquid below T_E
- C_E : Min. melting T_E composition
- **Eutectic transition**

$$L(C_E) \rightleftharpoons \alpha(C_{\alpha E}) + \beta(C_{\beta E})$$



Adapted from Fig. 9.7,
Callister 7e.

More Information on Eutectic Systems (II)



More Information on Eutectic Systems (II)

- **Eutectic reaction** – transition between liquid and mixture of two solid phases, $\alpha + \beta$, at eutectic concentration C_E .
 - The melting point of the eutectic alloy is lower than that of the components (*eutectic = easy to melt in Greek*).
- **At most two phases can be in equilibrium** within a phase field. Three phases (L, α, β) may be in equilibrium only at a few points along the eutectic isotherm.
- **Single phase regions are separated by 2-phase regions.**



EX: Pb-Sn Eutectic System (1)

- For a 40 wt% Sn-60 wt% Pb alloy at 150°C, find...

--the phases present: α + β

--compositions of phases:

$$C_O = 40 \text{ wt\% Sn}$$

$$C_\alpha = 11 \text{ wt\% Sn}$$

$$C_\beta = 99 \text{ wt\% Sn}$$

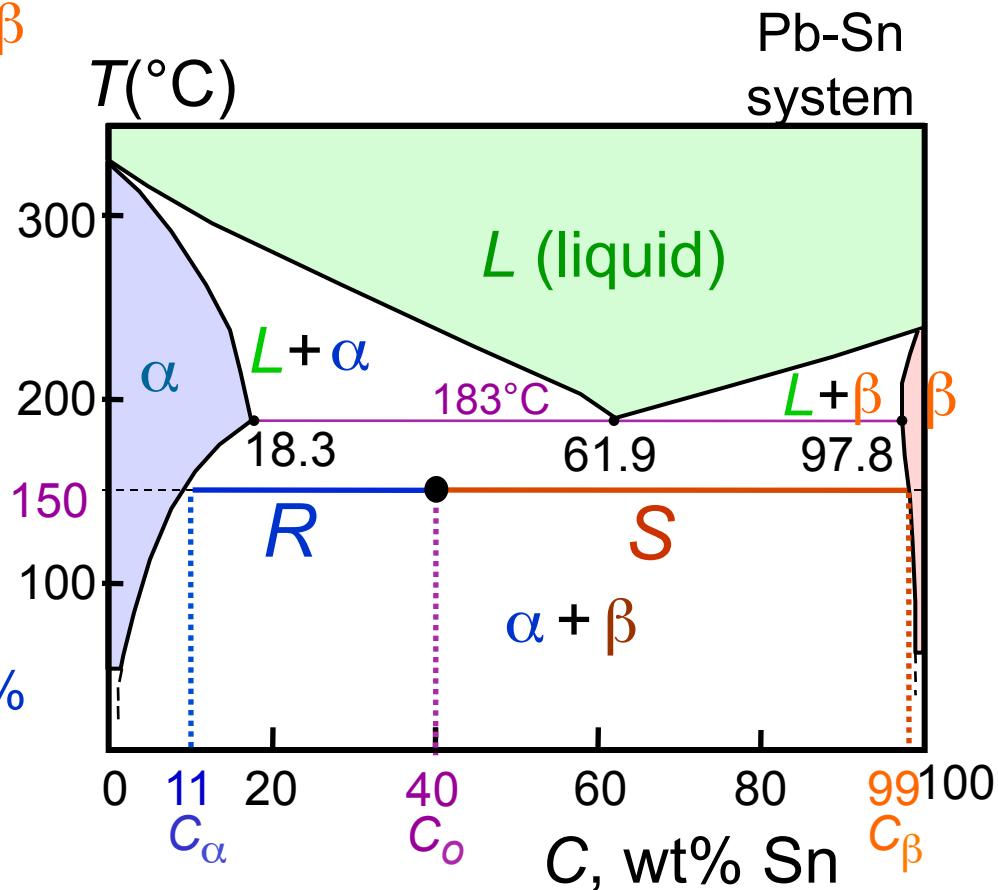
--the relative amount of each phase:

$$W_\alpha = \frac{S}{R+S} = \frac{C_\beta - C_O}{C_\beta - C_\alpha}$$

$$= \frac{99 - 40}{99 - 11} = \frac{59}{88} = 67 \text{ wt\%}$$

$$W_\beta = \frac{R}{R+S} = \frac{C_O - C_\alpha}{C_\beta - C_\alpha}$$

$$= \frac{40 - 11}{99 - 11} = \frac{29}{88} = 33 \text{ wt\%}$$



Adapted from Fig. 9.8,
Callister 7e.

EX: Pb-Sn Eutectic System (2)

- For a 40 wt% Sn-60 wt% Pb alloy at 200°C, find...

--the phases present: $\alpha + L$

--compositions of phases:

$$C_O = 40 \text{ wt% Sn}$$

$$C_\alpha = 17 \text{ wt% Sn}$$

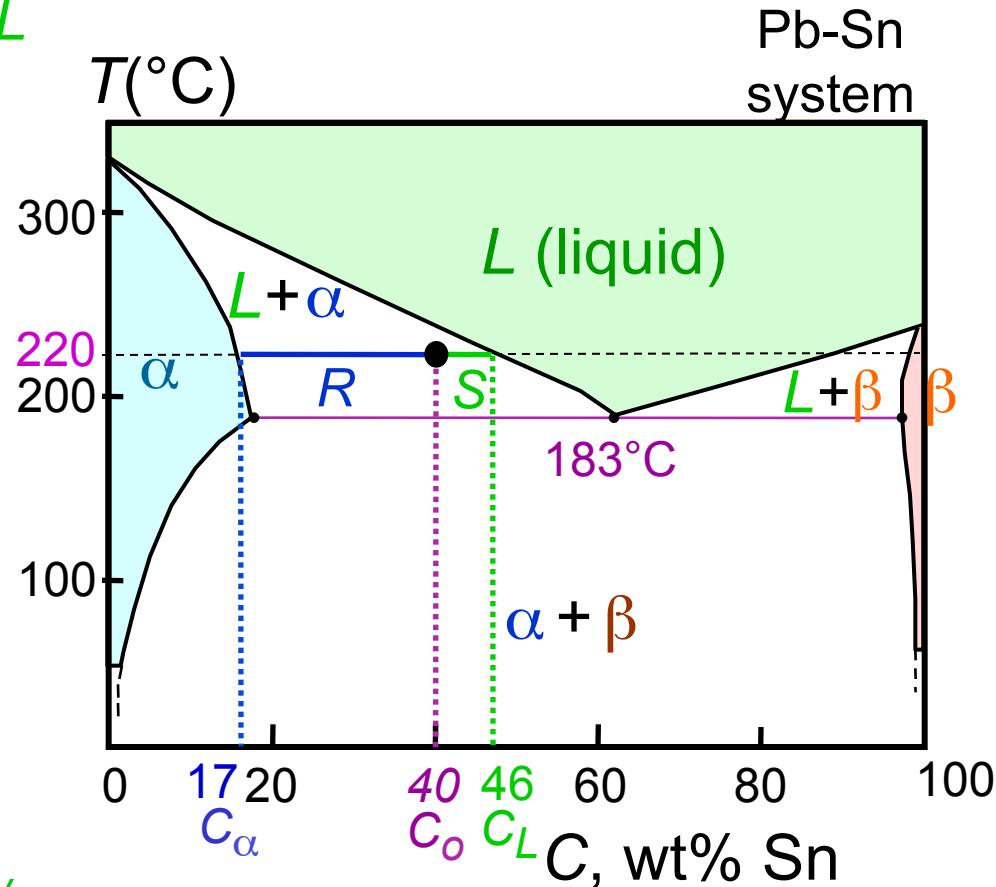
$$C_L = 46 \text{ wt% Sn}$$

--the relative amount of each phase:

$$W_\alpha = \frac{C_L - C_O}{C_L - C_\alpha} = \frac{46 - 40}{46 - 17}$$

$$= \frac{6}{29} = 21 \text{ wt\%}$$

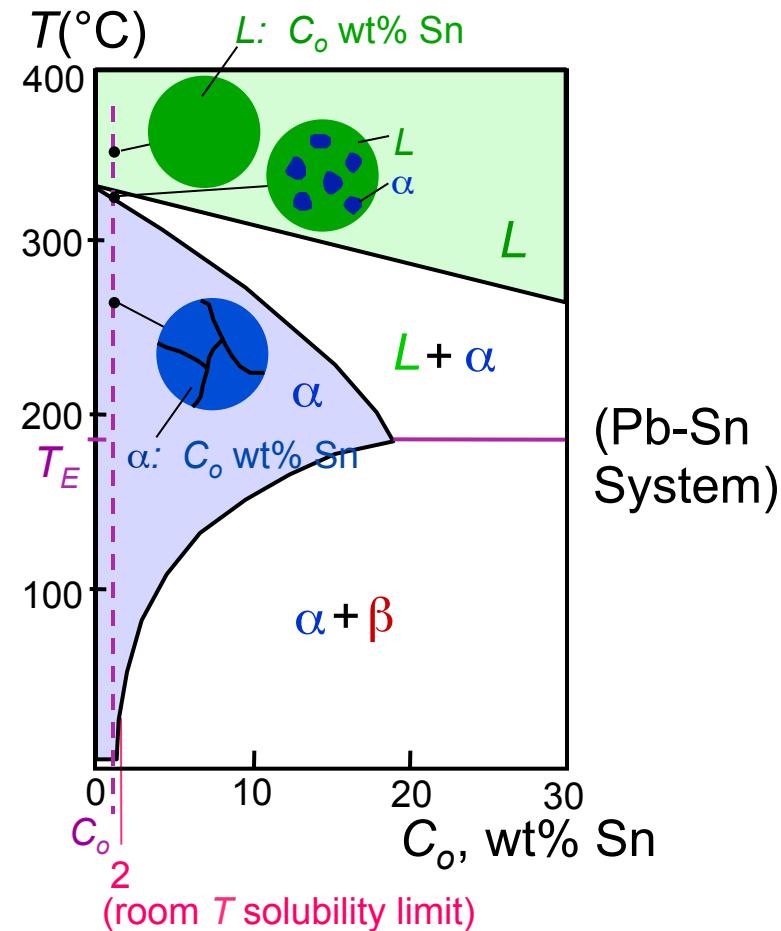
$$W_L = \frac{C_O - C_\alpha}{C_L - C_\alpha} = \frac{23}{29} = 79 \text{ wt\%}$$



Adapted from Fig. 9.8,
Callister 7e.

Microstructures in Eutectic Systems: I

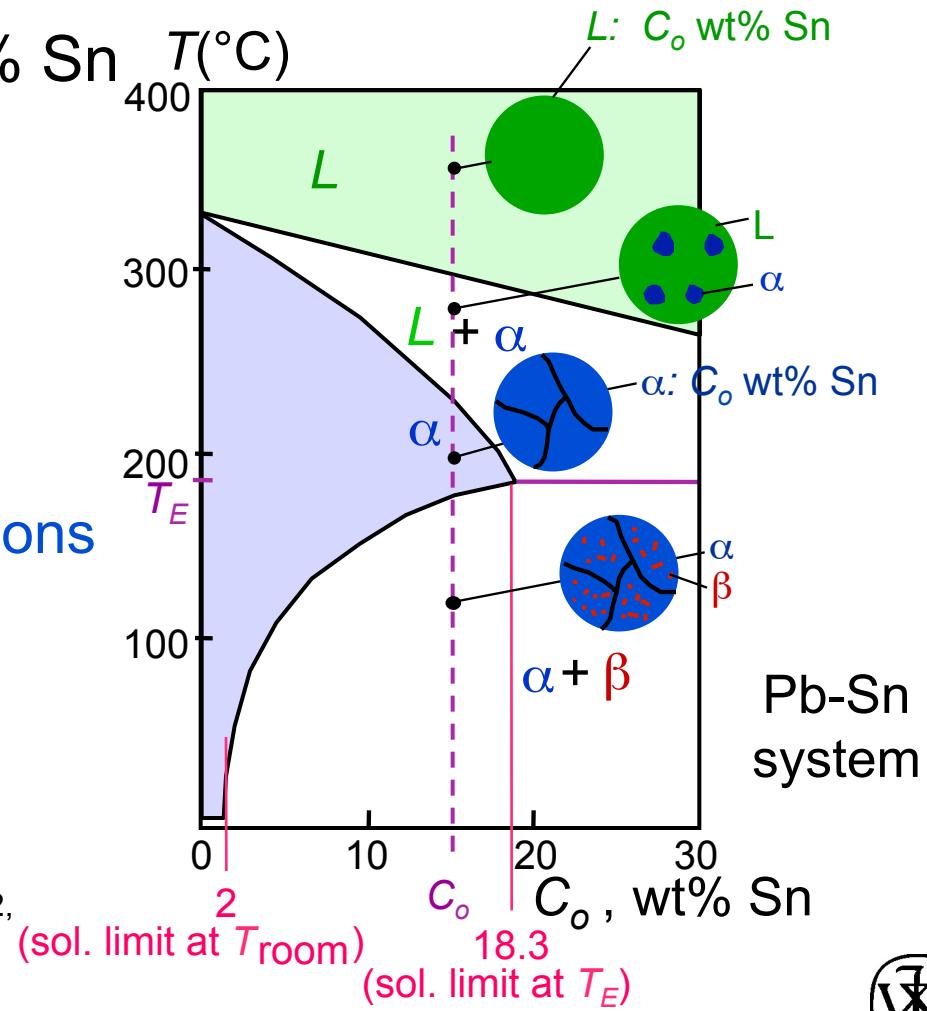
- $C_o < 2$ wt% Sn
- Result:
 - at extreme ends
 - polycrystal of α grains
i.e., only one solid phase.



Adapted from Fig. 9.11,
Callister 7e.

Microstructures in Eutectic Systems: II

- $2 \text{ wt\% Sn} < C_o < 18.3 \text{ wt\% Sn}$
- Result:
 - Initially liquid + α
 - then α alone
 - finally two phases
 - α polycrystal
 - fine β -phase inclusions



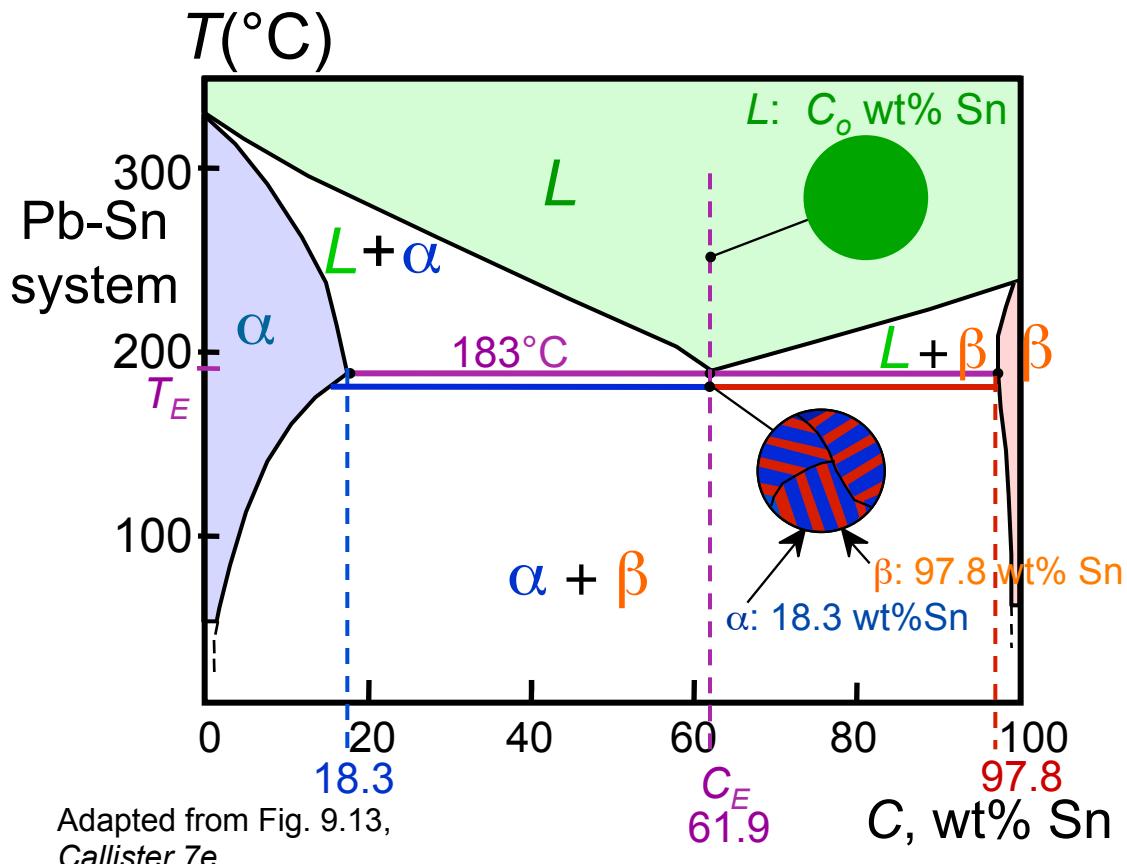
Adapted from Fig. 9.12,
Callister 7e.

(sol. limit at T_{room})

C_o (sol. limit at T_E)

Microstructures in Eutectic Systems: III

- $C_o = C_E$
- Result: Eutectic microstructure (lamellar structure)
--alternating layers (lamellae) of α and β crystals.

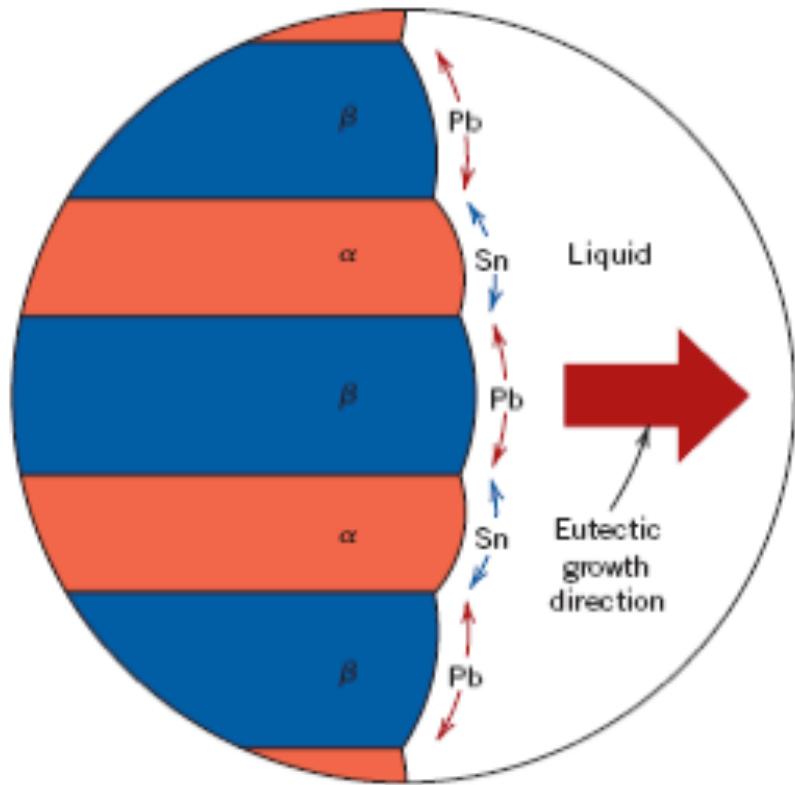


Micrograph of Pb-Sn eutectic microstructure

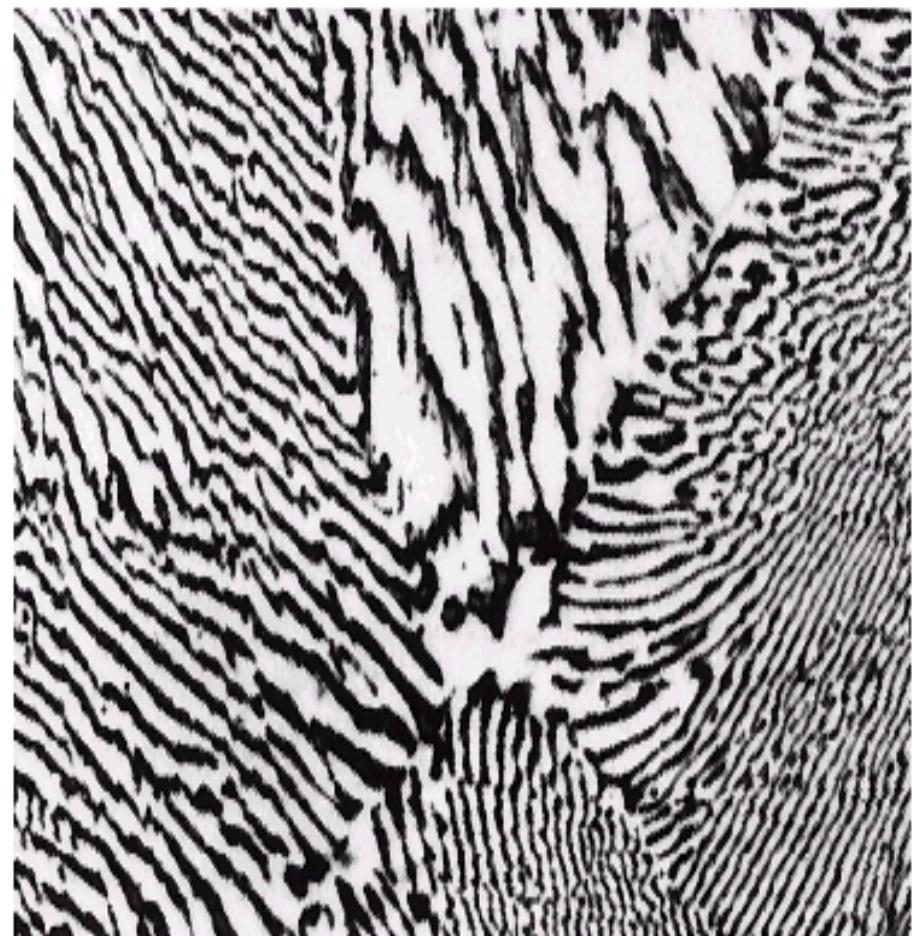


Adapted from Fig. 9.14, Callister 7e.

Lamellar Eutectic Structure

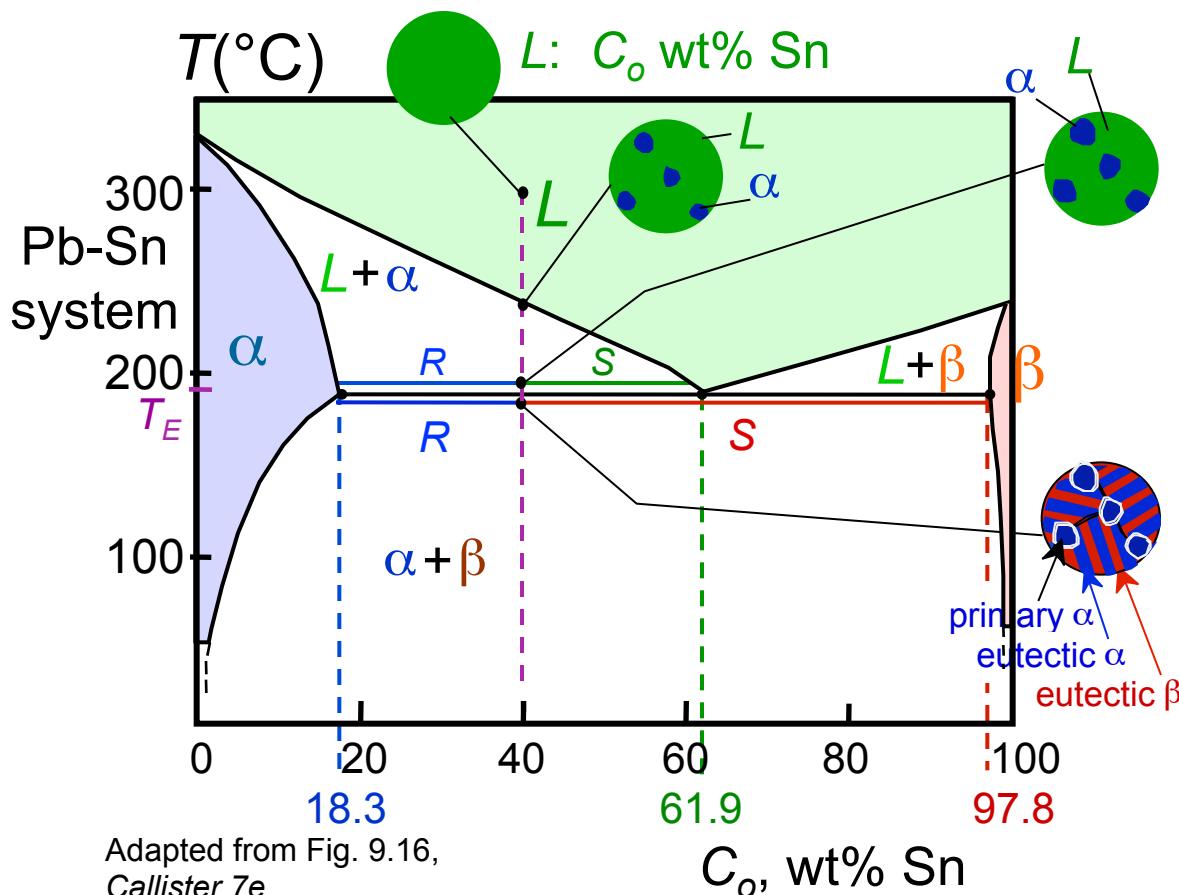


Adapted from Figs. 9.14 & 9.15, Callister
7e.



Microstructures in Eutectic Systems: IV

- $18.3 \text{ wt\% Sn} < C_o < 61.9 \text{ wt\% Sn}$
- Result: α crystals and a eutectic microstructure



- Just above T_E :

$$C_\alpha = 18.3 \text{ wt\% Sn}$$

$$C_L = 61.9 \text{ wt\% Sn}$$

$$W_\alpha = \frac{S}{R + S} = 50 \text{ wt\%}$$

$$W_L = (1 - W_\alpha) = 50 \text{ wt\%}$$
- Just below T_E :

$$C_\alpha = 18.3 \text{ wt\% Sn}$$

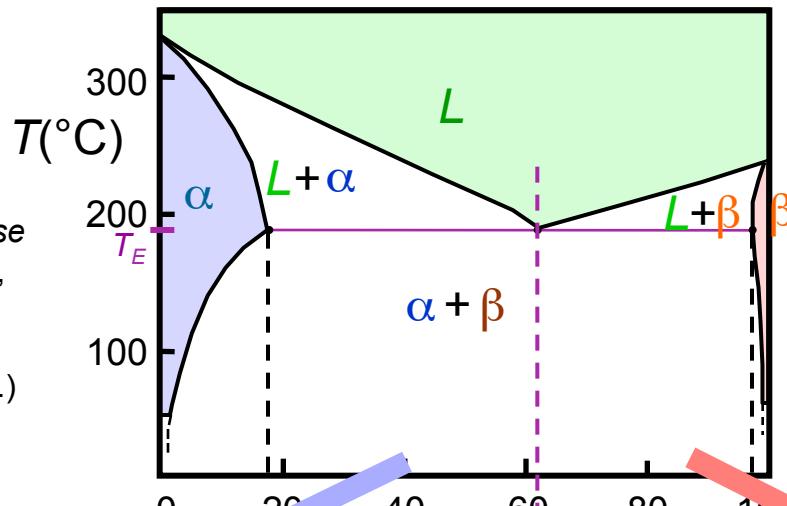
$$C_\beta = 97.8 \text{ wt\% Sn}$$

$$W_\alpha = \frac{S}{R + S} = 73 \text{ wt\%}$$

$$W_\beta = 27 \text{ wt\%}$$

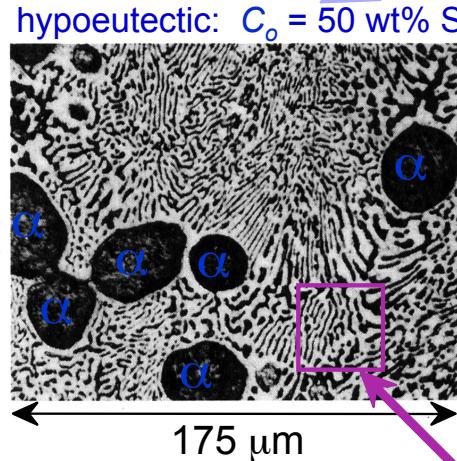
Hypoeutectic & Hypereutectic

Adapted from Fig. 9.8, Callister 7e. (Fig. 9.8 adapted from *Binary Phase Diagrams*, 2nd ed., Vol. 3, T.B. Massalski (Editor-in-Chief), ASM International, Materials Park, OH, 1990.)

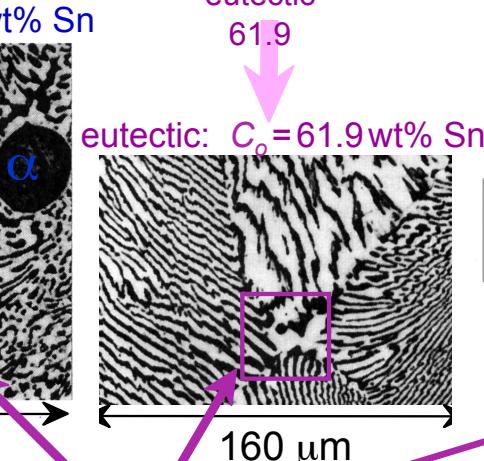


(Pb-Sn System)

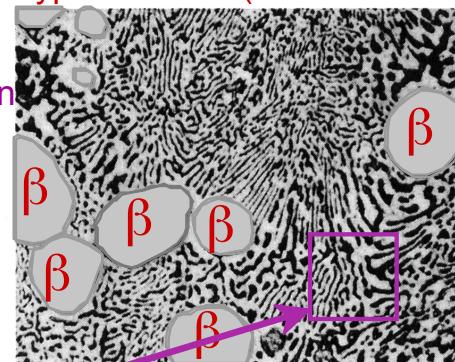
(Figs. 9.14 and 9.17 from *Metals Handbook*, 9th ed., Vol. 9, *Metallography and Microstructures*, American Society for Metals, Materials Park, OH, 1985.)



Adapted from Fig. 9.17, Callister 7e.

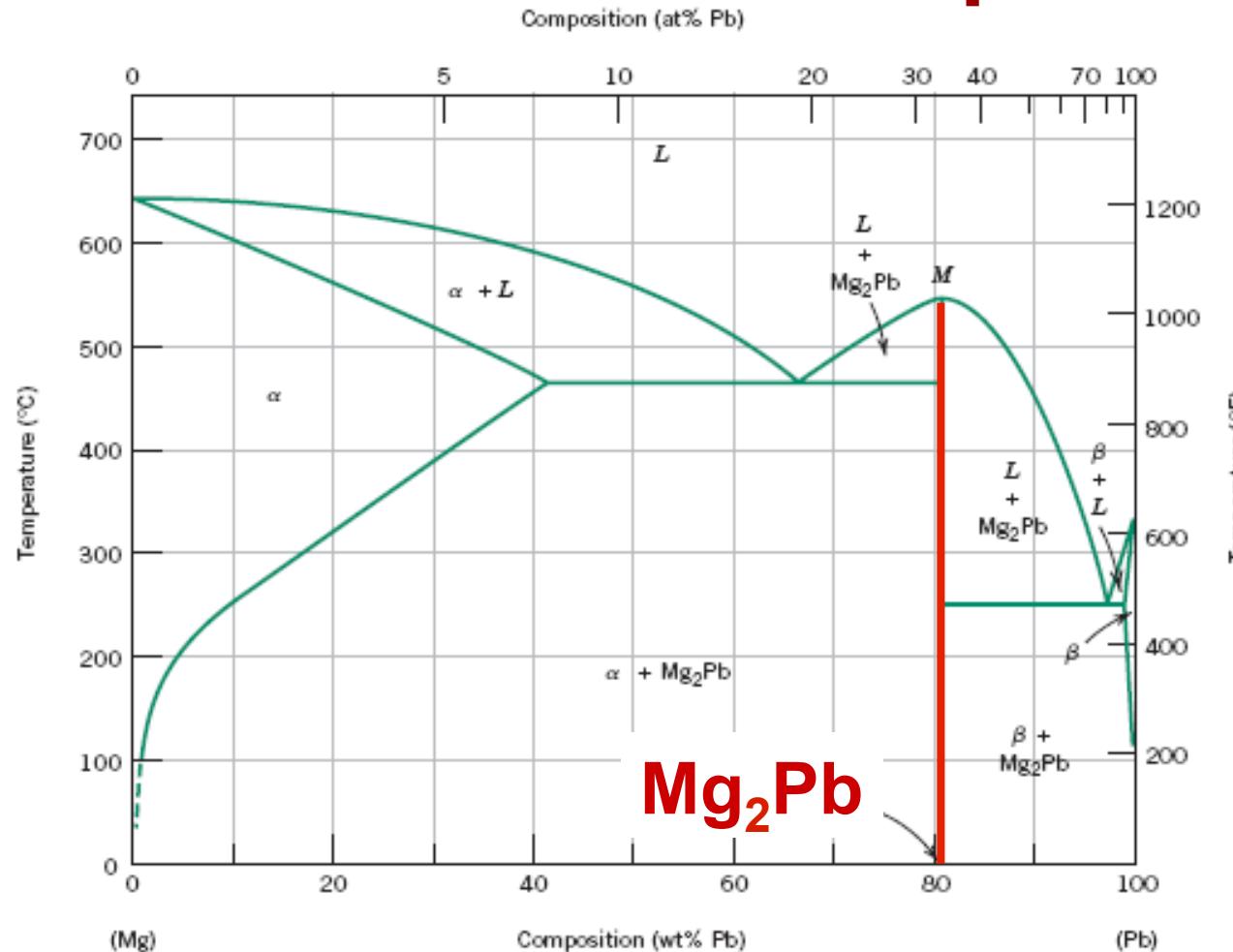


eutectic micro-constituent
Adapted from Fig. 9.14, Callister 7e.



Adapted from Fig. 9.17, Callister 7e. (Illustration only)

Intermetallic Compounds



Adapted from
Fig. 9.20, Callister 7e.

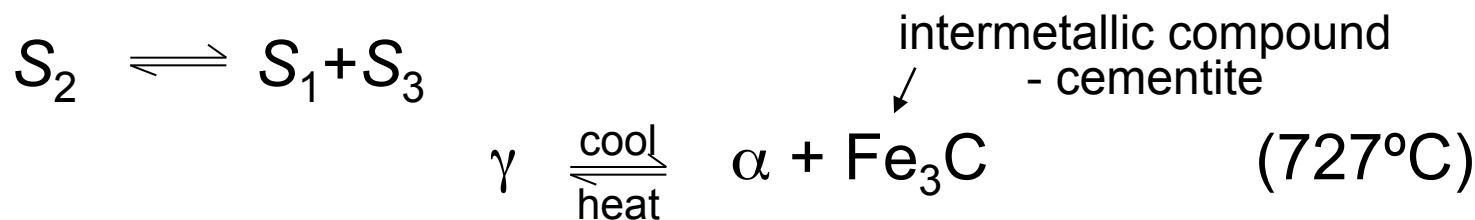
Note: intermetallic compound forms a line - not an area -
because stoichiometry (i.e. composition) is exact.

Eutectoid & Peritectic

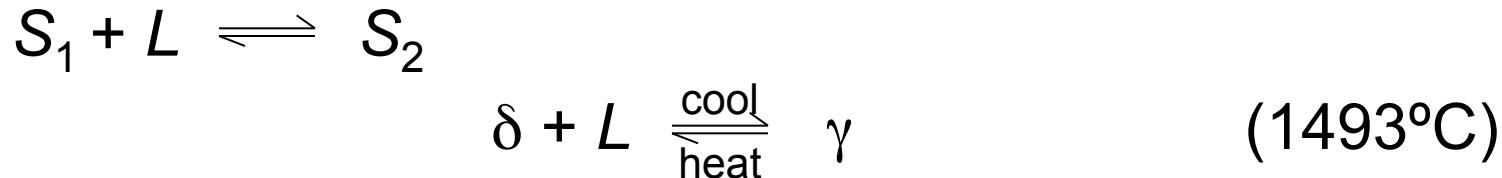
- **Eutectic** - liquid in equilibrium with two solids



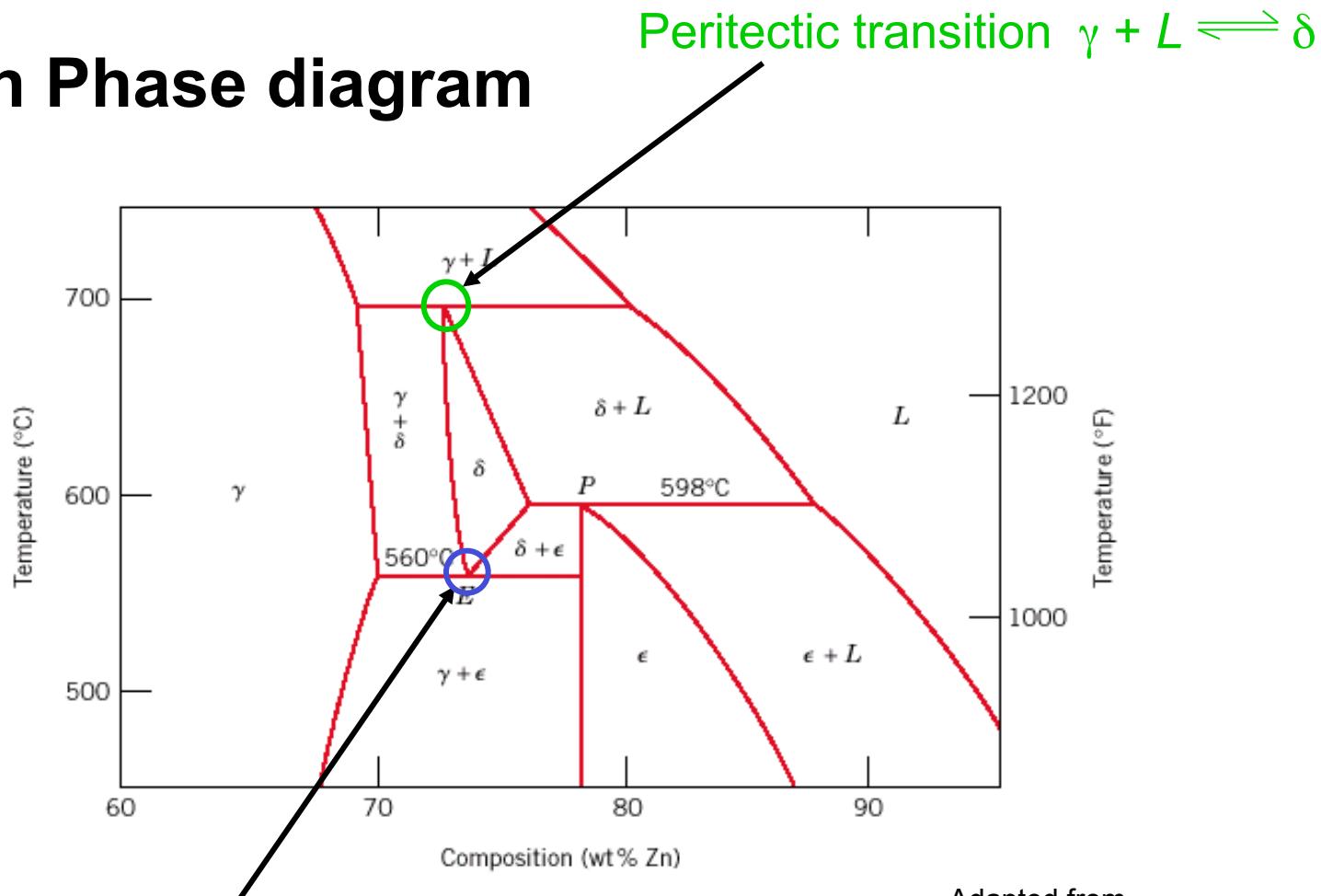
- **Eutectoid** - solid phase in equilibrium with two solid phases



- **Peritectic** - liquid + solid 1 \rightarrow solid 2 (Fig 9.21)



Eutectoid & Peritectic Cu-Zn Phase diagram



Eutectoid transition $\delta \rightleftharpoons \gamma + \epsilon$

Adapted from
Fig. 9.21, Callister 7e.

Iron-Carbon (Fe-C) Phase Diagram

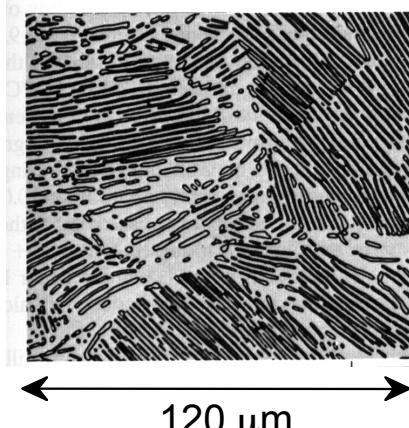
- 2 important points

-Eutectic (A):

$$L \Rightarrow \gamma + \text{Fe}_3\text{C}$$

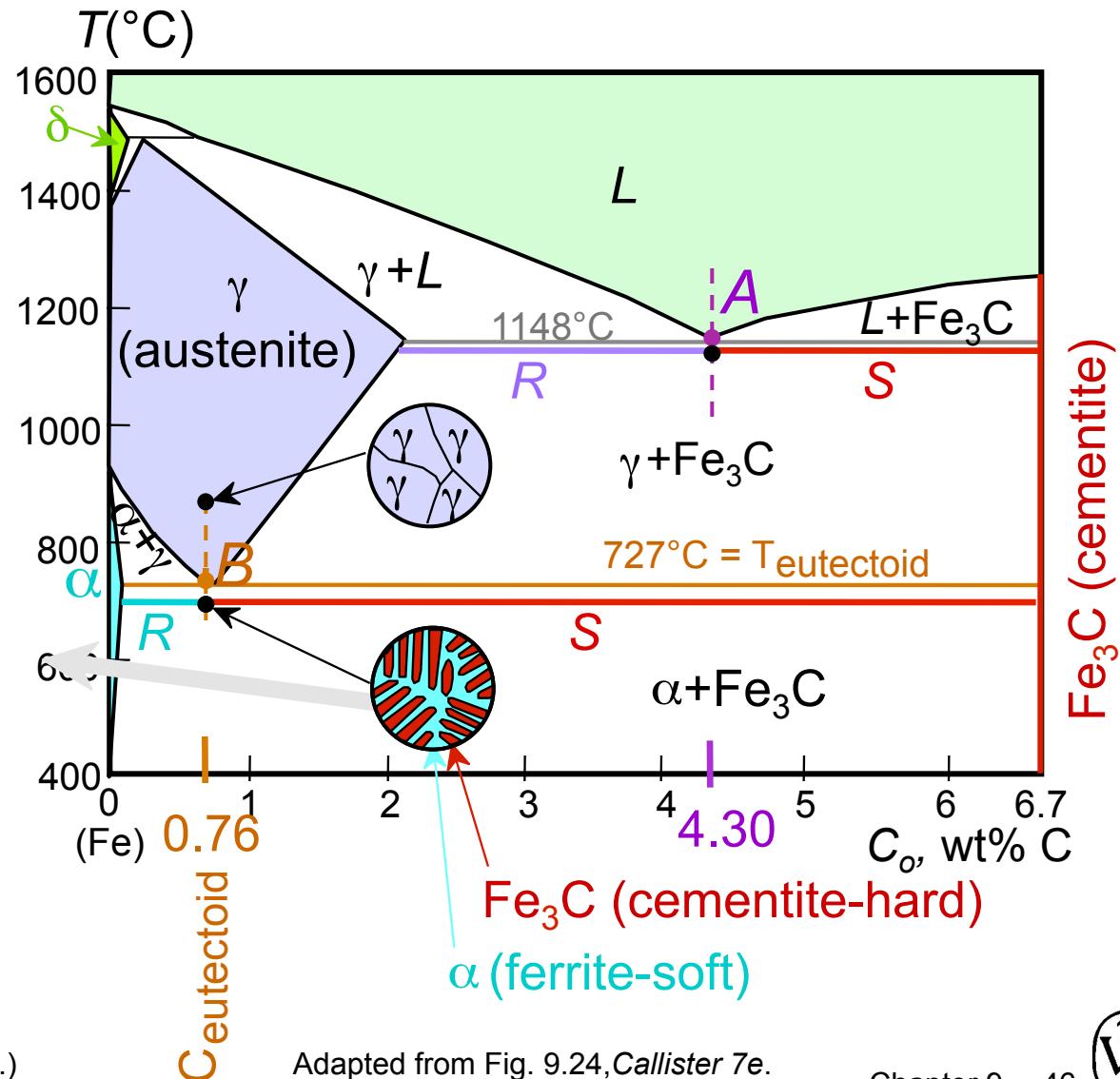
-Eutectoid (B):

$$\gamma \Rightarrow \alpha + \text{Fe}_3\text{C}$$



Result: Pearlite =
alternating layers of
 α and Fe_3C phases

(Adapted from Fig. 9.27, Callister 7e.)

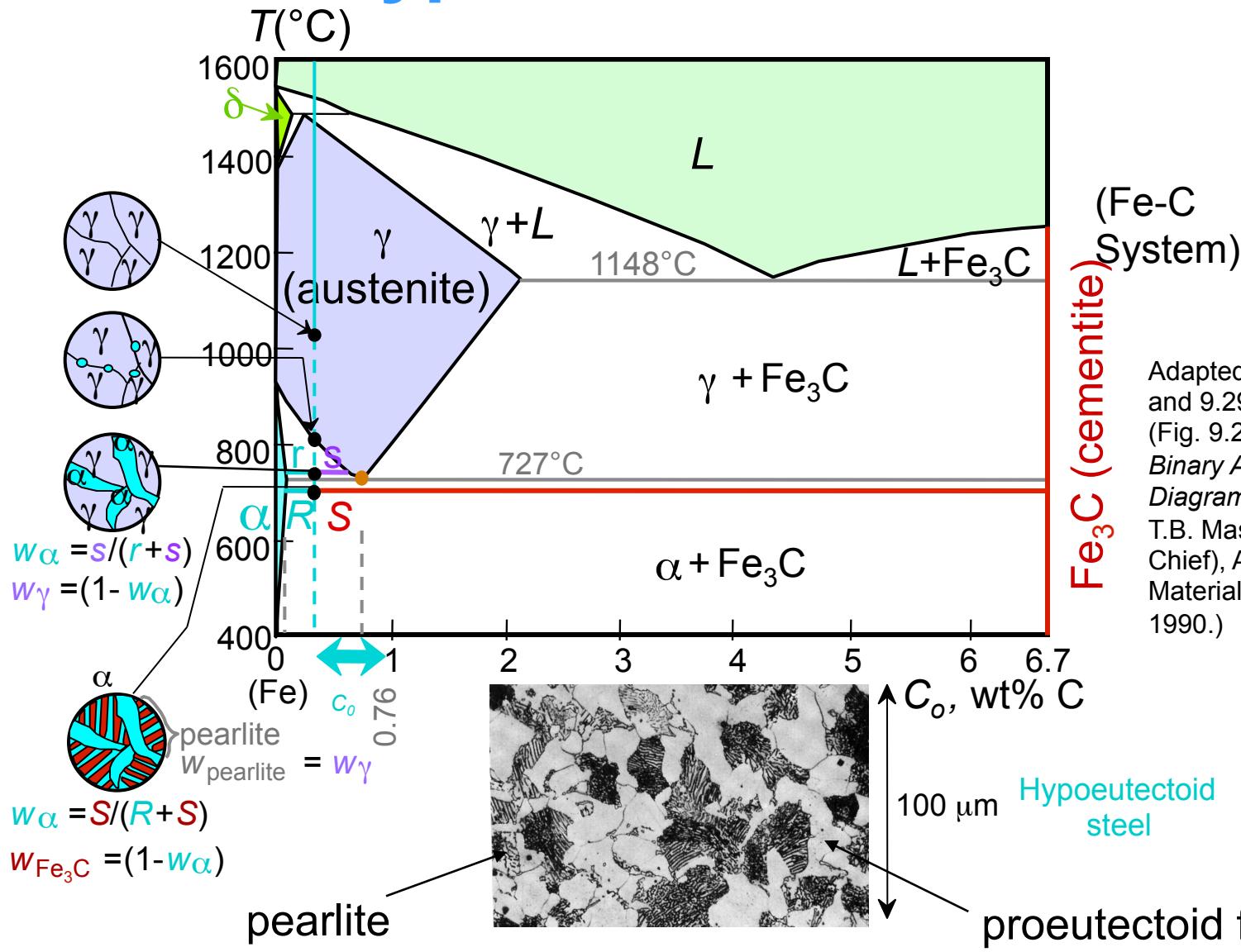


Adapted from Fig. 9.24, Callister 7e.

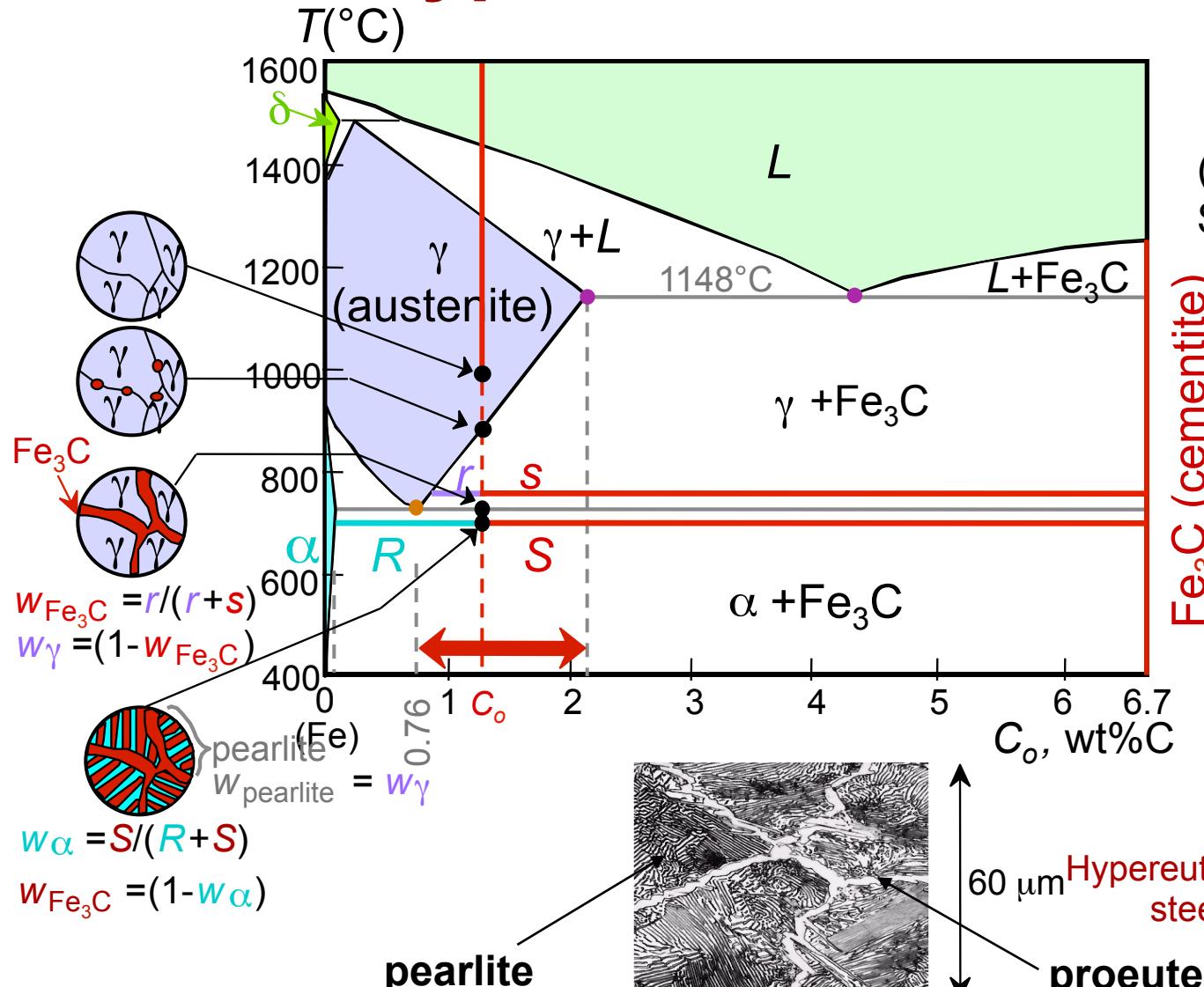
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Hypo-eutectoid Steel



Hypereutectoid Steel



(Fe-C System)

Adapted from Figs. 9.24 and 9.32, Callister 7e. (Fig. 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)

Adapted from Fig. 9.33, Callister 7e.

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Example: Phase Equilibria

For a 99.6 wt% Fe-0.40 wt% C at a temperature just below the eutectoid, determine the following

- a) composition of Fe_3C and ferrite (α)
- b) the amount of carbide (cementite) in grams that forms per 100 g of steel
- c) the amount of pearlite and proeutectoid ferrite (α)



Chapter 9 – Phase Equilibria

Solution: a) composition of Fe_3C and ferrite (α)

b) the amount of carbide (cementite) in grams that forms per 100 g of steel

$$C_o = 0.40 \text{ wt\% C}$$

$$C_\alpha = 0.022 \text{ wt\% C}$$

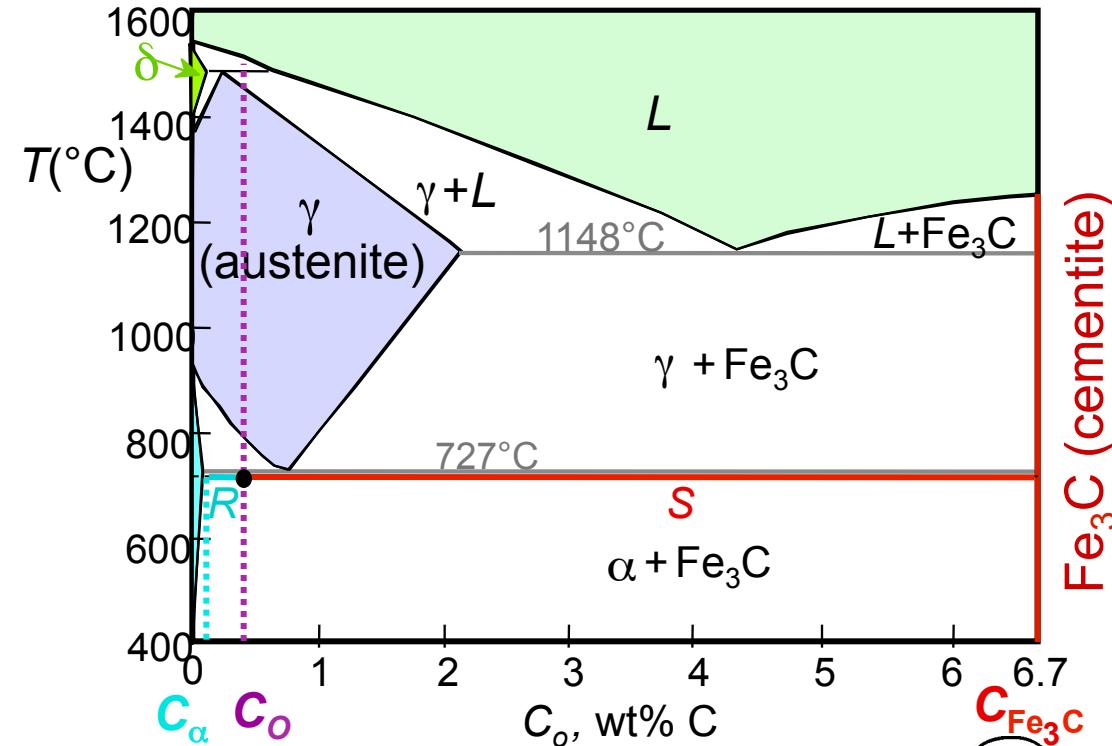
$$C_{\text{Fe}_3\text{C}} = 6.70 \text{ wt\% C}$$

$$\frac{\text{Fe}_3\text{C}}{\text{Fe}_3\text{C} + \alpha} = \frac{C_o - C_\alpha}{C_{\text{Fe}_3\text{C}} - C_\alpha} \times 100$$

$$= \frac{0.4 - 0.022}{6.7 - 0.022} \times 100 = 5.7 \text{ g}$$

$$\text{Fe}_3\text{C} = 5.7 \text{ g}$$

$$\alpha = 94.3 \text{ g}$$



Chapter 9 – Phase Equilibria

c. the amount of pearlite and proeutectoid ferrite (α)

note: amount of pearlite = amount of γ just above T_E

$$C_o = 0.40 \text{ wt\% C}$$

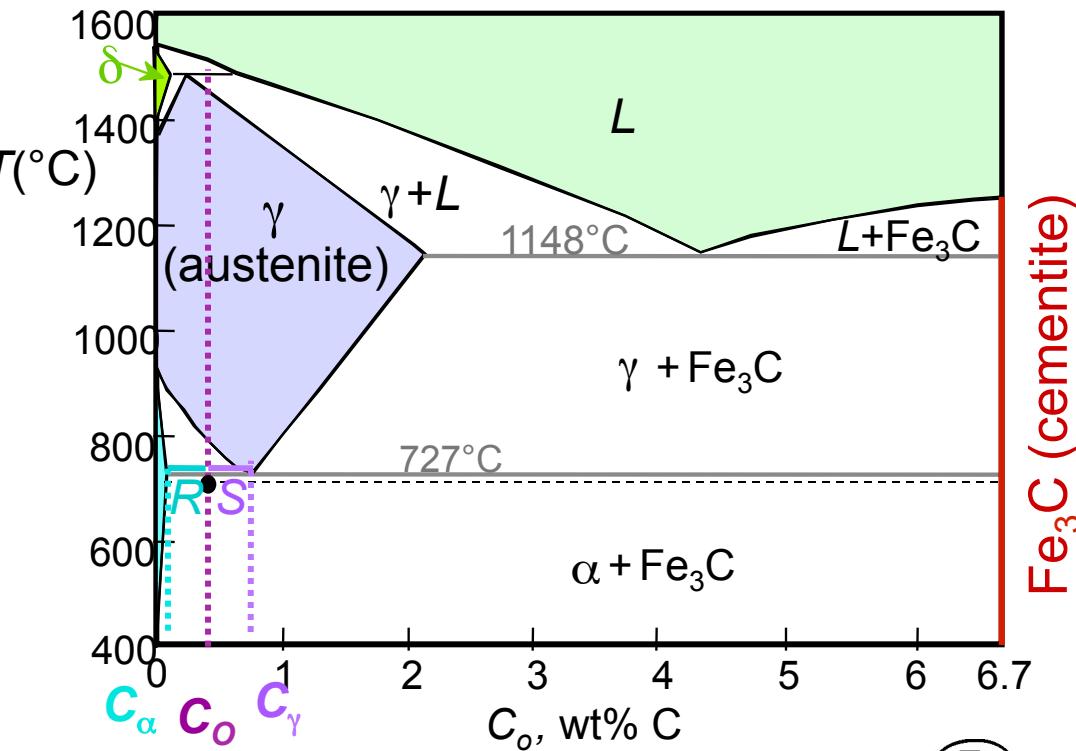
$$C_\alpha = 0.022 \text{ wt\% C}$$

$$C_{\text{pearlite}} = C_\gamma = 0.76 \text{ wt\% C}$$

$$\frac{\gamma}{\gamma + \alpha} = \frac{C_o - C_\alpha}{C_\gamma - C_\alpha} \times 100 = 51.2 \text{ g}$$

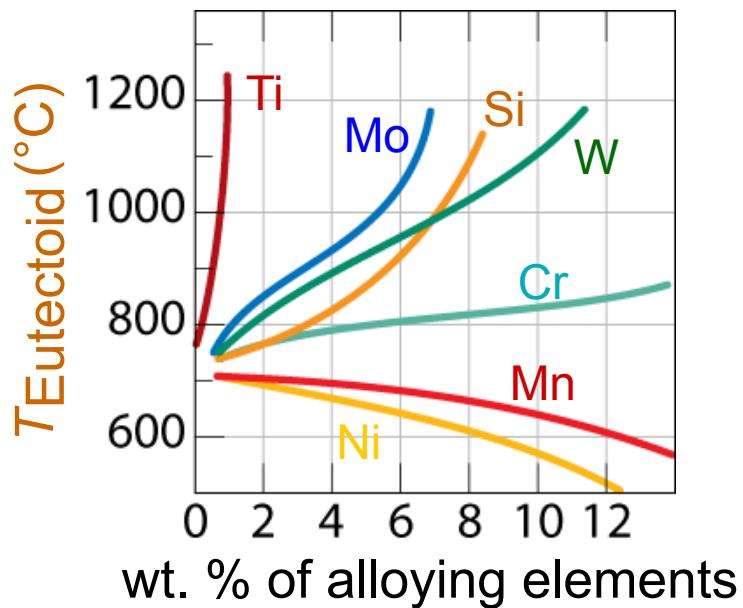
pearlite = 51.2 g

proeutectoid α = 48.8 g



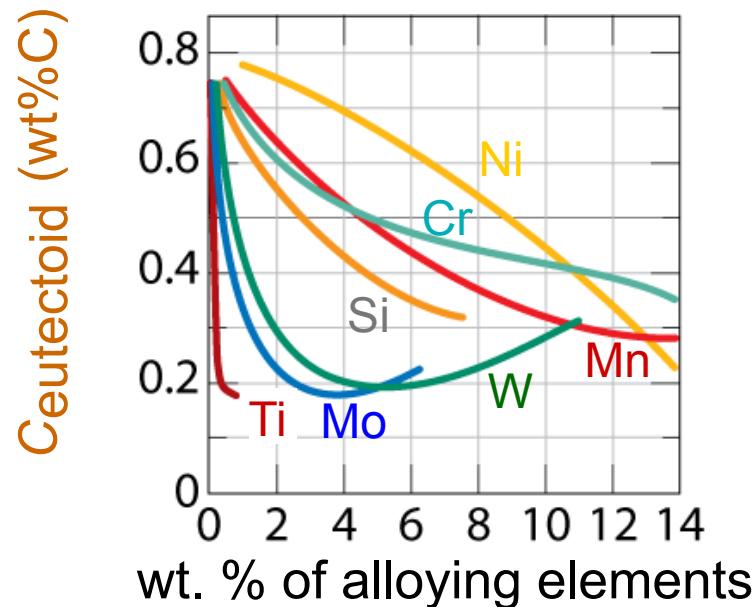
Alloying Steel with More Elements

- $T_{\text{eutectoid}}$ changes:



Adapted from Fig. 9.34, Callister 7e. (Fig. 9.34 from Edgar C. Bain, *Functions of the Alloying Elements in Steel*, American Society for Metals, 1939, p. 127.)

- $C_{\text{eutectoid}}$ changes:



Adapted from Fig. 9.35, Callister 7e. (Fig. 9.35 from Edgar C. Bain, *Functions of the Alloying Elements in Steel*, American Society for Metals, 1939, p. 127.)

Summary

- Phase diagrams are useful tools to determine:
 - the number and types of phases,
 - the wt% of each phase,
 - and the composition of each phasefor a given T and composition of the system.
- Alloying to produce a solid solution usually
 - increases the tensile strength (TS)
 - decreases the ductility.
- Binary eutectics and binary eutectoids allow for a range of microstructures.

ANNOUNCEMENTS

Reading:

Core Problems:

Self-help Problems: